



Assurance Continuity Maintenance Report

BSI-DSZ-CC-0918-V5-2023-MA-02

CONEXA 3.0, Version 1.4

from

Theben Smart Energy GmbH



SOGIS
Recognition Agreement
for components up to
EAL 4

The IT product identified in this report was assessed according to the procedures on Assurance Continuity [1] and the developer's Impact Analysis Report (IAR). The baseline for this assessment was the Certification Report, the Security Target and the Evaluation Technical Report of the product certified by the Federal Office for Information Security (BSI) under BSI-DSZ-CC-0918-V5-2023 updated by BSI-DSZ-CC-0918-V5-2023-MA-01 Maintenance dated 09. June 2023.



The change to the certified product is at the level of vendor's renaming. The certified product itself did not change. The changes are related to an update of the user guidance and the ST.

Considering the nature of the change leads to the conclusion that it is classified as a minor change and that certificate maintenance is the correct path to continuity of assurance.



Common Criteria
Recognition Arrangement
recognition for components
up to EAL 2 and ALC_FLR
only

The resistance to attacks has not been re-assessed in the course of this maintenance process. Therefore, the assurance statement as outlined in the Certification Report BSI-DSZ-CC-0918-V5-2023 dated 11. May 2023 / updated by a maintenance on 09. June 2023 is of relevance and has to be considered when using the product. Details can be found on the following pages.

This report is an addendum to the Certification Report BSI-DSZ-CC-0918-V5-2023.



Bonn, 25 July 2023

The Federal Office for Information Security

Assessment

The IT product identified in this report was assessed according to the procedures on Assurance Continuity [1] and the Impact Analysis Report (IAR) [2]. The baseline for this assessment was the Certification Report of the certified product (Target of Evaluation, TOE) [3], its Security Target and the Evaluation Technical Report as outlined in [3].

The vendor for the CONEXA 3.0, Version 1.4, Theben Smart Energy GmbH, submitted an IAR [2] to the BSI for approval. The IAR is intended to satisfy the requirements according to the procedures on Assurance Continuity [1]. In accordance with those requirements, the IAR describes (i) the changes made to the certified TOE, (ii) the evidence updated as a result of the changes and (iii) the security impact of the changes.

The CONEXA 3.0, Version 1.4 was changed due to vendor's renaming. Configuration Management procedures required a change in the product identifier. The certified product itself did not change.

The Security Target and the guidance were editorially updated.

Conclusion

The maintained change is at the level of vendor's renaming and new guidance documentation. The change has no effect on product assurance but the updated guidance documentation has to be followed.

Considering the nature of the change leads to the conclusion that it is classified as a minor change and that certificate maintenance is the correct path to continuity of assurance.

The resistance to attacks has not been re-assessed in the course of this maintenance process. Therefore, the assurance statement as outlined in the Certification Report BSI-DSZ-CC-0918-V5-2023 dated 11. May 2023 and updated by a maintenance on 09. June 2023 is of relevance and has to be considered when using the product.

Obligations and notes for the usage of the product:

All aspects of assumptions, threats and policies as outlined in the Security Target not covered by the TOE itself need to be fulfilled by the operational environment of the TOE.

The customer or user of the product shall consider the results of the certification within his system risk management process. In order for the evolution of attack methods and techniques to be covered, he should define the period of time until a re-assessment for the TOE is required and thus requested from the sponsor of the certificate.

Additional Note: The strength of the cryptographic algorithms was not rated in the course of the product certification and this maintenance procedure (see BSIG¹ Section 9, Para. 4, Clause 2).

1 Act on the Federal Office for Information Security (BSI-Gesetz - BSIG) of 14 August 2009, Bundesgesetzblatt I p. 2821

For details on results of the evaluation of cryptographic aspects refer to the Certification Report [3] chapter 9.2.

This report is an addendum to the Certification Report [3].

References

- [1] Common Criteria document “Assurance Continuity: CCRA Requirements”, version 2.2, 30 September 2021
Common Criteria document “Assurance Continuity: SOG-IS Requirements”, version 1.0, November 2019
- [2] Impact Analysis Report, V1.0, 19.07.2023, Theben Smart Energy GmbH (confidential document)
- [3] Certification Report BSI-DSZ-CC-0918-V5-2023 for CONEXA 3.0 Version 1.4, Bundesamt für Sicherheit in der Informationstechnik, 11.May 2023
- [4] Security Target CONEXA 3.0 - Smart Meter Gateway, Version 1.94.3, 2023-07-18, Theben Smart Energy GmbH
- [5] ADDENDUM zu den Handbüchern CONEXA 3.0 Smart Meter Gateway, Version 1.0, 18.07.2023, Theben Smart Energy GmbH