N-PosCore v.2.0.0.31

Security Target

EnPOS Bilişim

Version Number 2.3

N-PosCore v.2.0.0.31

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Version History

Version No	Reason for Change	Release Date
0.1	First Release	11/12/2013
0.2	Prepared for Evaluation Application	15/04/2014
0.3	Updated according to the remarks of the evaluation facility	03/06/2014
0.4	EFT-POS/SMARTPINPAD Communication requirements introduced	13/08/2014
0.5	Updated according to the Protection Profile	25/11/2014
0.6	Updated According to the Protection Profile	16/12/2014
0.7	Updated According to the Protection Profile v1.8	31/12/2014
0.8	Updated According to the remarks from the evaluation facility	05/12/2014
0.9	Updated According to the remarks from the evaluation facility	09/01/2015
1.0	Updated According to the remarks from the evaluation facility	14/01/2015
1.1	Updated According to the changes in TDS	19/01/2015
1.2	Application Note added by TSF self tests	20/01/2015
1.3	Updated According to the remarks from the evaluation facility	23/03/2015
1.4	Updated According to Protection Profile v2.0	12/07/2015
1.5	Updated According to the remarks from the evaluation facility	02/10/2015
1.6	Updated According to the remarks from the evaluation facility	06/10/2015
1.7	Updated According to the remarks from the evaluation facility	12/10/2015
1.8	Updated According to the remarks from the evaluation facility	19/10/2015
1.9	TOE version is updated.	15/12/2015
2.0	TOE version is updated.	29/01/2016
2.1	Updated According to the remarks from the evaluation facility	18/04/2016
2.2	Updated According to the remarks from the evaluation facility	14/08/2017
2.3	Updated According to the remarks from the evaluation facility	26/09/2017

Approvals

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1. ST INTRODUCTION

This section presents the following information:

- Identifies the Security Target (ST) and Target of Evaluation (TOE);

- Specifies the ST conventions,

- Defines the terminology and acronyms used in the ST,

- Defines TOE overview and TOE description.

1.1. ST Reference and TOE Reference

ST Title:	N-PosCore v.2.0.0.31 Security Target
ST Version:	V.2.3
TOE Identification:	N-PosCore v.2.0.0.31
CC Identification:	Common Criteria for Information Technology Security Evaluations, version 3.1R4
Technical References	[5] Technical Guidance, version 3.0, February 06, 2015 [6] PRA Messaging protocol, version 4.0, May 06, 2015 [7] External Device Communication Protocol Document version 2.0, March 20, 2015
Keywords:	Revenue Administration, Fiscal Application Software, New Generation Cash Register, EMV, EFT-POS, SMARTPINPAD, PRA, Electronic Registration Unit.

Tablo 1 ST and TOE References

1.1.1. Document Conventions, Terminology & Acronyms

This section specifies the formatting information used in the ST.

1.1.2. Conventions

In this Security Target some notations and conventions which are taken from the Common Criteria v3.1R3 have been used in order to guide to the reader.

During the specification of the functional requirements under the Section 4, the functional components are interpreted according to the "assignment" and "selection" operations.

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The outcome of the assignment operations are shown with <u>underlined</u> identified between *"*[<u>brackets</u>]*"*.

The outcome of the selection operations are shown with **bold** and *italic* and identified between "***[brackets]***".

Iterated functional requirement components are shown with a "**/IDENTIFIER"** for the components which used more than once with varying operations.

Refinement operations are used in the ST. Removed parts of the requirements shown with ~~strikethrough~~.

Under the term "**Application Note**", an informal explanation added under some of the functional requirements in order to highlight or to describe the component in detail.

1.1.3. Acronyms

AES   : Advanced Encryption Standard

CC   : Common Criteria

CCMB   : Common Criteria Management Board

DEMA   : Differential Electromagnetic Analysis

DFA   : Differential Fault Analysis

DPA   : Differential Power Analysis

EAL   : Evaluation Assurance Level (defined in CC)

EFT-POS   : Electronic Funds Transfer at Point of Sale

ERU   : Electronic Recording Unit

FCR   : Fiscal Cash Register

FCRAS   : Fiscal Cash Register Application Software

GMP   : GIB Messaging Protocol

IT   : Information Technology

ITU   : International Telecommunication Union

OSP   : Organizational Security Policy

PP   : Protection Profile

PKI   : Public Key Infrastructure

PRA   : Presidency of Revenue Administration

PRA-IS   : Presidency of Revenue Administration Information Systems

SAR   : Security Assurance Requirements

SEMA   : Simple Electromagnetic Analysis

SFR   : Security Functional Requirements

SHA   : Secure Hash Algorithm

SPA   : Simple Power Analysis

SSL - CA   : Secure Sockets Layer - Client Authentication

ST   : Security Target

TOE   : Target of Evaluation

TSF   : TOE Security Functionality (defined in CC)

TSE   : Türk Standartları Enstitüsü

TSM   : Trusted Service Manager

VAT   : Value Added Tax

FMC   : Peripheral's control card of TOE

1.2. TOE Overview

The TOE addressed by this Security Target (ST) is an application software and crypto library which is the main items of a Fiscal Cash Register (FCR). TOE is used to process the transaction amount of purchases which can be viewed by both seller and buyer. Since transaction amount is used to determine tax revenues; secure processing, storing and transmission of this data is very important.

The FCR is mandatory for first-and second-class traders. FCR is not mandatory for sellers who sell the goods back to its previous seller completely the same as the purchased good.

FCR consists of different parts. The TOE being the main item of an FCR named as N-PosCore, there are also several additional components necessary to get a fully functional FCR, described in Section 1.2.2. TOEs related components are given in Figure 1. Usage and major security features of TOE are described in section 1.2.3.

N-PosCore v.2.0.0.31 (TOE) is used as the fiscal application software for the FCR devices that satisfies the operational environment requirements and component properties defined in this Security Target.

TOE is providing the following functionalities as well as the security functions stated in this document;

- Dynamic promotion support
- Product description in detail for the goods in sale
- User friendly interface designed with consideration of user experience
- Single-click sales via touch screen
- On-Line and Off-Line execution
- Receipt upon completion of transaction
- Customizable user screen and receipt templates
- Providing additional fields for end of receipts
- Alert of users in defined circumstances
- Advanced search capabilities for product with the support of single-click sales
- N-PosCore aided Z-Reports at the end of the day
- Unlimited definition of cash in/out process type
- Sales with 6 different foreign currencies
- Exporting a receipt to an invoice
- Automated preparation of expense invoice
- Unlimited number of authorized user
- Follow-up incentives for the authorized users based of sales
- Exporting sales report per authorized user
- Detailed reporting for the data on Daily Memory, Fiscal Memory and ERU.

1.2.1. General overview of the TOE and related components

Hata! Başvuru kaynağı bulunamadı. shows the general overview of the TOE and its related components as regarded in this ST. The orange part of **Hata! Başvuru kaynağı bulunamadı.**

marked as Fiscal Application Software is the TOE. The figure also shows the input/output interface, fiscal memory, daily memory, database, ERU, fiscal certificate memory; that are TOE's environmental components and crucial for functionality and security. Connections between the TOE and its environment are also subject to evaluation since these connections are made over the interfaces of the TOE.

Figure 1 General Architecture of the TOE
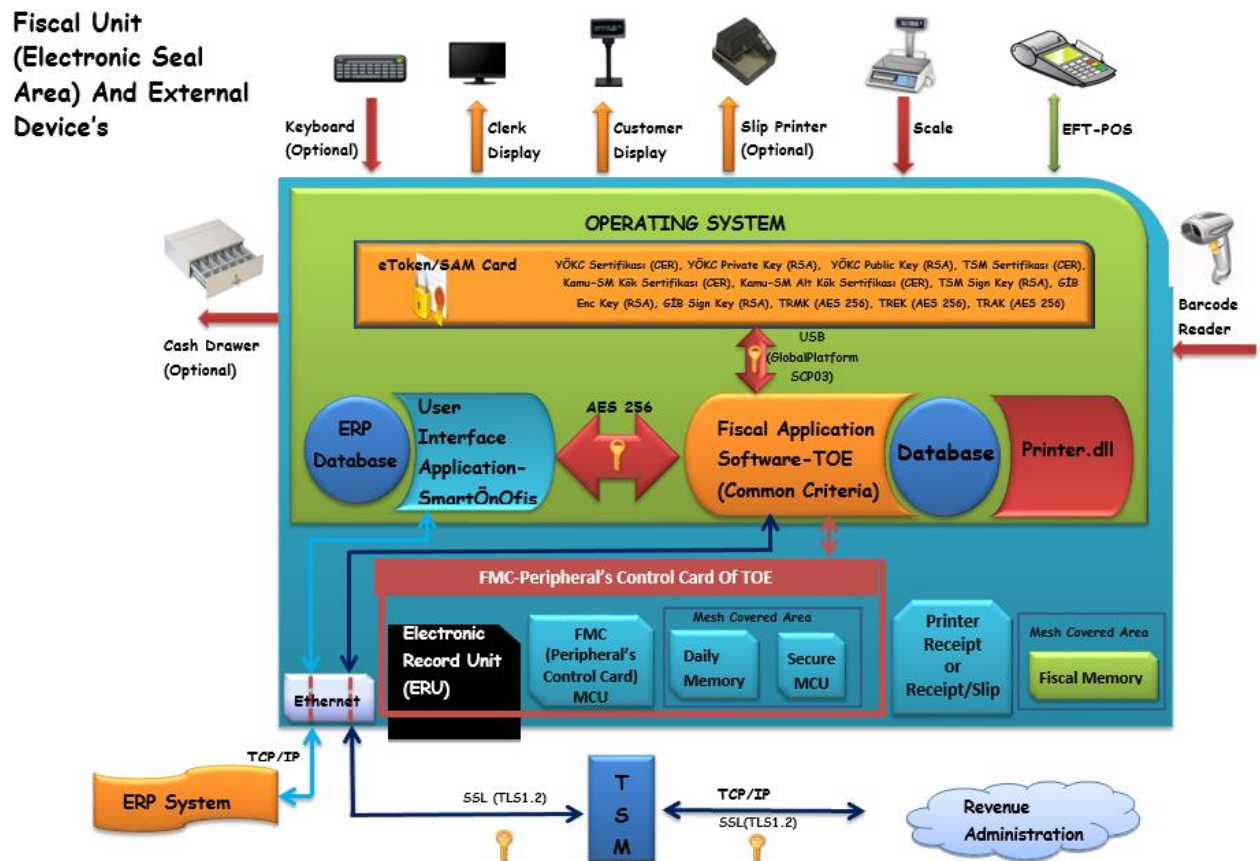
1.2.2. Required non-TOE hardware/software/firmware

Software, hardware environment of the TOE are described below.

1.2.2.1. Software Environment of TOE

TOE runs at the top of an operating system's kernel, its file-system as in a typical software environment. This structure is shown in **Hata! Başvuru kaynağı bulunamadı.**.

Table 1 Typical Software Environment of the TOE

File System
Operating System Kernel

In addition to TOE, following software components are necessary for security and functionality of the FCR:

- Application runs on an **Windows 7 or higher** which supports following features

    o at least 32 bit data processing capacity
    o multi-processing
    o IPv4 and IPv6 support
    o NTP (Network Time Protocol)

- **MSSQL 2008 Express or higher database which is** used to store sales data, has the following features;

  i. Database has data recording, organizing, querying, reporting features
  ii. Database stores sales records for main product groups (food, clothing, electronics, glassware etc.) and sub-product groups (milk, cigarette, fruit, trousers etc.) in order to track detailed statistics
  iii. Database has indexing mechanism

1.2.2.2. Hardware Environment of TOE

In addition to TOE, following hardware components are necessary for security and functionality of the FCR:

- **Fiscal memory**

  i. Fiscal memory has following features;

      a. Fiscal memory has the capacity to store at least 10 years (3650 days) of data,

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b. Fiscal memory keeps data at least 5 years after the capacity specified in (a) has been reached,

c. Fiscal memory has to be fixed within FCR in a way that it cannot be removed without damaging the chassis.

d. Fiscal memory is protected by mesh cover,

e. Fiscal memory has the ability to be protected against magnetic and electronic threats,

   When the connection between fiscal memory and main processor is broken, FCR enters in maintenance mode,

f. The data stored in the fiscal memory is not be lost in case of power off,

g. Fiscal memory accepts only positive amounts from the application and the peripherals,

h. FCR checks "Z" reports from fiscal memory during device start-up. In case where there are days for which Z report was not generated, FCR will be able to run in normal mode only after it generates Z reports for the missing days. Seasonal firms can take cumulative Z report by specifying date and time range.

ii. Fiscal Memory includes following data;

   a. Fiscal symbol, company code and identification number of the device,

   b. Cumulative sum of the total sales and Value Added Tax (VAT) amounts for all sales receipts, starting from the device activation time (i.e. first use),

   c. Date and number of "Z" reports with total sales and VAT per day,

   d. The number of receipts per day.

- **Daily memory has following features;**

i. Receipt total and total VAT amount for each receipt are to be stored in the daily memory instantly. This data can be transmitted to PRA information systems (PRA - IS), instantly or daily depending on demand.

ii. Data in the daily memory which is not already transmitted to fiscal memory, cannot be modified in an uncontrolled way.

iii. Data transmitted from daily memory to fiscal memory is to be kept in daily memory for at least 10 days.

iv. Z reports, taken at the end of the day; and X reports, taken within the current day are produced by using the data in the daily memory.

v. Following values are stored in the daily memory

   a. total VAT amount per day,

   b. total daily sales values per day grouped by payment type

   c. payment type (Cash, credit card etc.)

   d. number of receipts.

- FCR supports X.509 formatted digital certificate generated by Authorized Certificate Authority. This **Public Key Infrastructure (PKI)** compatible digital certificate is called **fiscal certificate** and is used for authentication and secure communication between PRA-IS and FCR through Trusted Service Manager (TSM). For physical security, FCR is protected by electronic and mechanic systems called **electronic seal**. FCR uses **cryptographic library** for secure communication with PRA-IS and TSM

- Electronic Record Unit (ERU) is used to keep second copy of the receipt and has following features;

   i. ERU stores information about receipts and FCR reports (except ERU reports) in a retrievable form.

   ii. ERU has at least 1.2 million row capacity. Our ERU has minimum 3.187.297 row capacity.

   iii. Data stored in ERU cannot be modified

   iv. ERU also supports features specified in "Fiscal Cash Register General Communique Serial Number: 67, Part A" which is about Law No: 3100 except item (ii) above.

- FCR devices have an ETHERNET interfaces.

- FCR has a windows firewall to control incoming and outgoing data traffic.

- FCR supports the use of EFT-POS/SMARTPINPAD

- FCR has a printer to print sales receipt.

- FCR needs some input/output devices for functionalities listed below;

  i. FCR has keyboard unit. It may optionally use a touch screen additionally.

  ii. FCR has separate displays for cashier and buyer.

  iii. FCR has internal battery to keep time information.

1.2.3. Major security and functional features

The functional and major security features of the TOE are described below.

1.2.3.1. TOE functional features

The TOE is used as part of a FCR which is an electronic device for calculating and recording sales transactions and for printing receipts. TOE provides the following services;

  i. TOE stores sales data in fiscal memory.
 ii. TOE stores total receipt and total VAT amount for each receipt in daily memory.
iii. TOE is able to generate reports (X report, Z report etc.).
 iv. TOE is able to transmit Z reports, receipt information, sale statistics and other information determined by PRA to PRA-IS in PRA Messaging Protocol format.
  v. TOE stores records of important events as stated in PRA Messaging Protocol Document [6] and transmits to PRA-IS in PRA Messaging Protocol format in a secure way.
 vi. TOE is able to be used by users in secure state mode or maintenance mode. Roles and modes of operation are described in **Hata! Başvuru kaynağı bulunamadı.** and **Hata! Başvuru kaynağı bulunamadı.** respectively.

1.2.3.2. TOE major security features

The TOE provides following security features;

  i. TOE supports access control.
 ii. TOE supports secure communication between main processor and fiscal memory. However, for the cases where the main processor and the fiscal memory are included within the same electronic seal secure communication is not mandatory. TOE is able to

detect disconnection between main processor and fiscal memory and enter into the maintenance mode.

iii.   TOE supports usage of ITU X509 v3 formatted certificate and its protected private key for authentication and secure communication with PRA- IS and TSM.

iv.   TOE supports secure communication between FCR-PRA-IS and FCR–TSM.

v.   TOE supports secure communication with EFT-POS/SMARTPINPAD

vi.   TOE ensures the integrity of event data, sales data, authentication data, characterization data and FCR parameters.

vii.   TOE records important events defined in PRA Messaging Protocol Document [6] and send urgent event data immediately to PRA-IS in a secure way.

viii.   TOE detects physical attacks to FCR and enters into the maintenance mode in such cases.

1.3. TOE Type

TOE is an embedded software application and has both hardware and software crypto library within FCR.

TOE Part1:

Fiscal Application

TOE Part2:

Software Crypto Library: It is available in the Windows Embedded Operating Sytem ".Net FrameWork V.4.5.50709" which is used for SSL Library.

TOE Part3:

Secure IC Firmware and Hardware Crypto Library:
SafeNet eToken (Smartcard or USB token) version 9.1.2
Athena IDProtect/OS755 Java Card on INSIDE Secure AT90SC25672RCTUSB
Microcontroller embedding IDSign applet

On-board security algorithms:
RSA 1024-bit / 2048-bit, MD5 (in software via PKCS#11), 3DES (Triple DES), SHA1, SHA256, AES128, AES192, AES256.

1.4. TOE Description

1.4.1. Physical Scope

The following components are outside the scope of TOE.;

-
- Fiscal Memory, ERU, Daily Memory Communication via FMC
- Entity Framework
- Printer Unit

The connections between control cards in Fiscal unit and CPU and memories on those cards are shown below diagram.
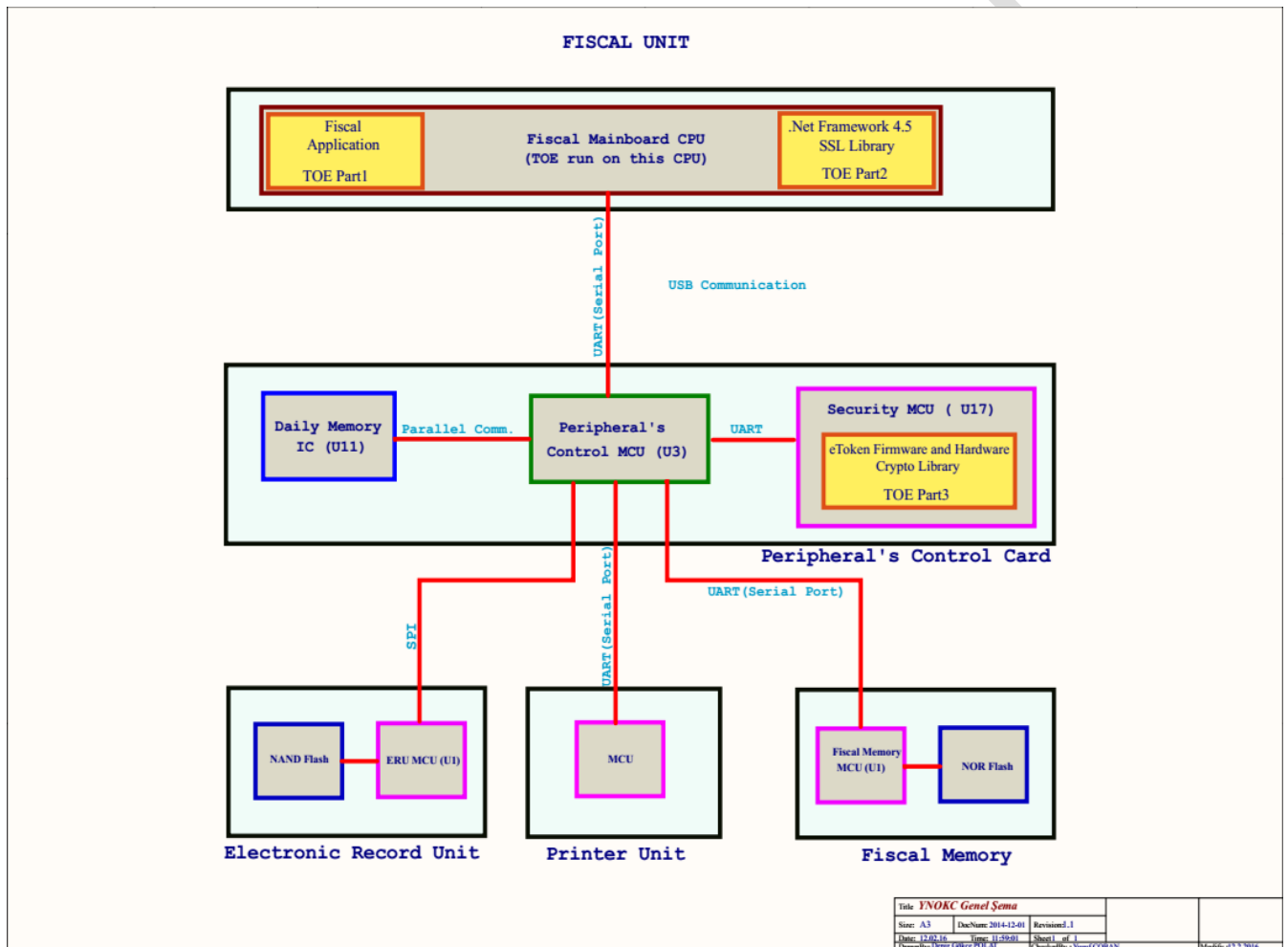
1.4.2. Logical Scope

The logical scope of the TOE consists of the security functional features of the fiscal application software which is subject to a common criteria evaluation. The following security functions are in the logical scope of TOE;

- Audit/Event Log: The function which generates and stored the events data according to the PRA Messaging Protocol and the SFRs stated in this Security Target.

- Cryptography: The Cryptographic Libraries which are used by TSF for cryptographic operations like encrypt and decrypt of imported and exported data. This function also covers the key generation and destruction.

- Identification and Authentication: TOE has various user roles and access rights during normal operation and an identification and authentication function controls the user identification and authentication securely.

- Access Control: The access rights in the TOE are controlled with an access control policies and functions. This function is enforced during authentication and data export. Also TOE enforce information flow control policy for EFT-POS/SMART PINPAD and TSM.

- Data Integrity: TOE protects the integrity of stored and exported data with the support of a TSF.

- Import/Export: Data import and export are handled securely with an enforced policy with the control of a TSF.

- TSF Protection: TSF protects the secure operation and in any case of defined corruptions TOE switches to maintenance mode to continue protecting its core functionality.

- TOE Self Testing: TOE conducts self-testing of its functionality during initial startup.

- Security Management: TSF provides the security functions and restrict the access to these functions with specific capabilities defined in this security target.

2. CONFORMANCE CLAIM

2.1. CC Conformance Claim

This Security Target and TOE claims conformance to:

- Common Criteria for Information Technology Security Evaluation, Part 1: Introduction and General Model, CCMB-2012-09-001, Version 3.1, Revision 4, September 2012,
- Common Criteria for Information Technology Security Evaluation, Part 2: Security Functional Components, CCMB-2012-09-002, Version 3.1, Revision 4, September 2012,
- Common Criteria for Information Technology Security Evaluation, Part 3: Security Assurance Components, CCMB-2012-09-003, Version 3.1, Revision 4, September 2012,

As follows;

- Part 2 conformant,

- Part 3 conformant.

The

- Common Methodology for Information Technology Security Evaluation, Evaluation Methodology; CCMB-2012-09-004, Version 3.1, Revision 4, September 2012

has to be taken into account.

2.2. PP and Package Claim

2.2.1. Protection Profile (PP) Claim

This Security Target claims conformance to New Generation Fiscal Application Software Protection Profile TSE-CCCS/PP-007.

2.2.2. Package Claim

The current ST is conformant to the following security requirements package:

- Assurance package EAL2 conformant to CC, part 3.

2.3. Conformance Claim Rationale

The type of TOE defined in this ST is consistent with the TOE type defined in the PP which is claimed in the section 2.2.1.

All claims under the sections; Security Problem Definition and Security Objectives of this security target is consistent with the respective sections of the PP which a conformance is claimed in the section 2.2.1.

Security Requirements are consistent with the PP however an application note is included in order to define the additional conditions for key destruction under FCS_CKM.4.

3. SECURITY PROBLEM DEFINITION

3.1. TOE Security Policy

3.1.1. External Entities

PRA-IS

PRA-IS takes sales data and event data from FCR by sending query with parameters to FCR through TSM.

Trusted Service Manager

TSM is the system which is used to load parameters, update software and manage FCR.

Attacker

Attacker tries to manipulate the TOE in order to change its expected behavior and functionality. Attacker tries to breach confidentiality, integrity and availability of the FCR.

PRA On-site Auditor

PRA On-site Auditor is an employee of PRA who performs onsite audits onsite to control the existence of expected FCR functionalities by using the rights of FCR Authorized User.

Certificate storage

The certificate storage holds certificates and private key used for authentication and secure communication. Certificate storage is protected inside physical and logical tampering system.

Time Information

FCR gets time information from trusted server. Time information is used during receipt, event, fiscal memory record, daily memory record and ERU record creation and is also used to send information to PRA-IS according to FCR Parameters.

Audit storage

Audit storage can be any appropriate memory unit in FCR. Audit storage stores important events according to their criticality level (urgent, high, warning and information). List of events can be found in PRA Messaging Protocol Document [6].

Storage unit

Storage units of FCR are database, fiscal memory, daily memory and ERU.

Input interface

Input interfaces provide necessary input data from input devices to the TOE. Input devices for FCR may be keyboard, barcode reader, QR code (matrix barcode) reader, order tracking device and global positioning devices.

External Device

External device is the device which is used to communicate with FCR by using secure channel according to External Device Communication Protocol Document [7]]

Output interface

Output interfaces deliver outputs of the TOE to the output devices. Output devices for FCR may be printer, display etc.

3.1.2. Roles

FCR Authorised User

FCR Authorised User is the user who uses the functions of FCR and operates FCR by accessing the device over an authentication mechanism.

Authorised Manufacturer User

Authorised Manufacturer User works for FCR manufacturer and conducts maintenance works on FCR.

Unauthenticated User

Unauthenticated user can do a sales operation and print an X Report.

3.1.3. Modes of FCR

Maintenance Mode: Maintenance Mode is the mode that allows only Authorized Manufacturer User to fix FCR in case of any technical problem, to change date and time information; to change IP/Port information of TSM; to review event data and to start update operation of TOE. FCR does not allow any fiscal transaction in maintenance mode. FCR enters this mode when the following occur;

- FCR Certificate check fails,

- Mesh cover monitoring check fails,

- A disconnection between fiscal memory and main processor occurs,

- Electronic seal is opened, or forced by unauthorized persons,

- A technical problem is determined by FCR Manufacturer.

Secure State Mode: Secure State Mode is the mode that allows;

❖ FCR Authorized User;
- ✓ to configure FCR,
- ✓ to take fiscal and FCR reports

Secure State Mode is also allows;

❖ Unauthenticated Users;
- ✓ to do fiscal sales,
- ✓ to get FCR reports (except fiscal reports).

3.1.4. Assets

Sensitive data

Sensitive data is used for and secure communication with PRA-IS and TSM. Confidentiality and integrity of this asset needs to be protected.

Application Note 1: Sensitive data may consist of symmetric keys (TREK, TRAK, TRMK and SSL session keys).

- *TREK is used to provide confidentiality of data transfer to PRA-IS,*

- *TRAK is used to integrity control of data transferred to the PRA-IS,*

- *TRMK is used for key transportation from PRA-IS to TOE,*

- *SSL session keys are used for secure communication with the TSM.*

Event data

Event data is used to obtain information about important events saved in audit storage. The integrity of this asset is crucial while stored in FCR and both integrity and confidentiality of this asset are important while it is transferred from TOE to PRA-IS. Event data is categorized in PRA Messaging Protocol Document [6].

Sales data

Sales data is stored in storage unit. Sales data is required by PRA-IS to calculate tax amount and to provide detailed statistics about sales. The integrity of this asset has to be protected while stored in FCR; and both integrity and confidentiality have to be protected while it is transferred from TOE to PRA-IS.

Characterization data (Identification data for devices)

Characterization data is a unique number assigned to each FCR given by the manufacturer. PRA-IS uses characterization data for system calls to acquire sales data or event data of an FCR. Integrity of this asset has to be protected.

Authentication data

Authentication data contains authentication information which is required for FCR Authorized User and Authorized Manufacturer User to gain access to FCR functionalities. Both integrity and confidentiality of this asset have to be protected.

Time Information

Time information is stored in FCR and synchronized with trusted server. Time information is important when logging important events and sending reports to the PRA-IS. The integrity of this asset has to be protected.

Server Certificates

Server certificates contain PRA-IS certificates (P_{PRA} and $P_{PRA-SIGN}$) P_{PRA} and $P_{PRA-SIGN}$ certificates are used for encryption and sign verification process during key transportation between TOE and PRA-IS.

FCR Parameters

FCR parameters stored in FCR are updated by TSM after Z report is printed.

FCR parameters set;

- Sales and event data transferring time

- Criticality level of event data sent to the PRA-IS

- Maximum number of days that FCR will work without communicating with PRA-IS

3.2. Threats

Threats averted by TOE and its environment are described in this section. Threats described below results from assets which are protected or stored by TOE or from usage of TOE with its environment.

T.AccessControl

Adverse action: Authenticated users could try to use functions which are not allowed.

(e.g. FCR Authorized users gaining access to Authorised Manufacturer User functions)

Threat agent: An attacker who has basic attack potential, has physical and logical access to

FCR.

Asset: Event data, sales data, time information.

T.Authentication

Adverse action: Unauthorized users could try to use FCR functions except doing fiscal sales and taking reports which are not fiscal.

Threat agent: An attacker who has basic attack potential, has logical and physical access to the FCR

Asset: Sales data, event data, time information

T.MDData - Manipulation and disclosure of data

Adverse action: This threat deals with five types of data: event data, sales data, characterization data, authentication data and FCR parameters.

- An attacker could try to manipulate the event data to hide its actions and unauthorised access to the FCR, failure reports, and deletion of logs. An attacker also could try to disclose important events while transmitted between PRA-IS and FCR.

- An attacker could try to manipulate or delete the sales data generated by TOE which may result in tax fraud. In addition, an attacker also could try to disclose sales data while transmitted between PRA-IS and FCR. Manipulation and deletion of sales data located in FCR may be caused by magnetic and electronic reasons.

- An attacker could try to manipulate the characterization data to cover information about tax fraud; to masquerade the user identity.

- An attacker could try to manipulate the FCR parameters to use FCR in undesired condition.

- An attacker also could try to disclose and modify authentication data in FCR to gain access to functions which are not allowed to his/her

Threat agent: An attacker who has basic attack potential, has physical and logical access to the FCR.

Asset: Event data, sales data, characterization data, FCR parameters and authentication data.

T.Eavesdrop - Eavesdropping on event data, sales data and characterization data

Adverse action: An attacker could try to eavesdrop event data, sales data and characterization data transmitted between the TOE and the PRA-IS and also between the TOE and the distributed memory units (Fiscal memory, Database, Daily memory and ERU).

Threat agent: An attacker who has basic attack potential, physical and logical access to the FCR.

Asset: Characterization data, sales data, and event data.

T.Skimming - Skimming the event data, sales data and characterization data

Adverse action: An attacker could try to imitate TSM to set parameters to FCR via the communication channel.

Threat agent: An attacker who has basic attack potential and logical access to the FCR.

Asset: FCR parameters.

T.Counterfeit - FCR counterfeiting

Adverse action: An attacker could try to imitate FCR by using sensitive (session keys) data while communicating with PRA-IS and TSM to cover information about tax fraud.

Threat agent: An attacker who has basic attack potential, has physical and logical access to the FCR.

Asset: Sensitive data

T. Server counterfeiting

Adverse action: An attacker could try to imitate PRA-IS by changing server certificates (P_{PRA} and $P_{PRA-SIGN}$) in FCR. In this way, the attacker could try to receive information from FCR.

Threat agent: An attacker who has basic attack potential, has physical and logical access to the FCR.

Asset: Server Certificates

T.Malfunction - Cause malfunction in FCR

Adverse action: An attacker may try to use FCR out of its normal operational conditions to cause malfunction without the knowledge of TOE.

Threat agent: An attacker who has basic attack potential, has physical access to the FCR.

Asset: Sales data, event data.

T.ChangingTime

Adverse action: An attacker may try to change time to invalidate the information about logged events and reports in FCR.

Threat agent: An attacker who has basic attack potential, has physical and logical access to the FCR.

Asset: Time Information.

3.3. OSP

This section describes organizational security policies that must be satisfied.

P.Certificate

It has to be assured that certificates which are installed at initialization step, are compatible with ITU X.509 v3 format. FCR contains
- FCR certificate,
- Certification Authority root certificate, Certification Authority sub-root (subordinate) certificate that are used for verification of all certificates that are produced by Certification Authority,
- P_{PRA} certificate that is used for key transport process between FCR and PRA-IS,
- $P_{PRA-SIGN}$ certificate that is used by TOE for signature verification.
- Update Control certificate is used to verify the signature of the TOE.

P.Certificates Installation

It has to be assured that environment of TOE provides secure installation of certificates (P_{PRA} $P_{PRA-SIGN}$, Certification Authority root and sub-root certificates, Update Control certificate, FCR

certificates if handled as soft) into the FCR at initialization phase. Before the installation of certificates, it has to be assured that asymmetric key pair is generated in a manner which maintains security posture.

P.Comm_EXT - Communication between TOE and External Device

It has to be assured that communication between TOE and external devices is used to encrypted using AES algorithm with 256 bits according to External Device Communication Protocol Document [7].

P.InformationLeakage - Information leakage from FCR

It has to be assured that TOE's environment provides a secure mechanism which prevents attacker to obtain sensitive information (private key) when FCR performs signature operation; i.e by side channel attacks like SPA (Simple Power Analysis), SEMA (Simple Electromagnetic Analysis), DPA (Differential Power Analysis), DEMA (Differential Electromagnetic Analysis).

P.SecureEnvironment

It has to be assured that environment of TOE senses disconnection between fiscal memory and main processor. Then TOE enters into the maintenance mode and logs urgent event. It has to be assured that fiscal memory doesn't accept transactions with negative amounts which results in a decrease of total tax value. It has to be assured that environment of TOE provides a mechanism that sales data in daily memory which is not reflected to the fiscal memory cannot be deleted and modified in an uncontrolled way. It has to be assured that sales data in ERU cannot be deleted and modified.

P.PhysicalTamper

It has to be assured that TOE environment and TOE provide a tamper respondent system which is formed by electromechanical seals. It has to be assured that physical tampering protection system protects the keys (asymmetric key, symmetric key), the certificates, event data, characterization data, FCR parameters and sales data in FCR. It has to be assured that TOE logs this type of events and enters into the maintenance mode when physical tampering protection

system detect unauthorized access. It has to be assured that authorised access such as maintenance work or service works are logged.

It has to be also assured that physical tampering protection system (mesh cover) protects fiscal memory.

P.PKI - Public key infrastructure

It has to be assured that IT environment of the TOE provides public key infrastructure for encryption, signing and key agreement.

P.UpdateControl

TOE is allowed to be updated by only TSM or Authorized Manufacturer User to avoid possible threats during this operation, FCR shall verify the signature of the new version of TOE to ensure that the TOE to be updated is signed by the correct organization. Thus, the TOE to be updated is ensured to be the correct certified version because only the certified versions will be signed. In addition, FCR shall check version of TOE to ensure that it is the latest version.

3.4. Assumptions

This section describes assumptions that must be satisfied by the TOE's operational environment.

A. TrustedManufacturer

It is assumed that manufacturing is done by trusted manufacturers. They process manufacturing step in a manner which maintains IT security.

A.Control

It is assumed that PRA-IS personnel performs random controls on FCR. During these controls PRA-IS personnel should check that if tax amount and total amount printed values on receipt and sent to PRA-IS are the same. In addition to this, a similar check should be made for events as well.

A.Initialisation

It is assumed that environment of TOE provides secure initialization steps. Initialization step is consist of secure boot of operating system, and integrity check for TSF data. Moreover, if certificate is handled as soft (not in the smartcard) it is assumed that environment of TOE provides secure installation of it to the FCR in initialization phase. Before certificate installation it is assumed that asymmetric key pair generated in a manner which maintains security posture.

A. TrustedUser

User is assumed to be trusted. It is assumed that for each sale a sales receipt is provided to the buyer.

A.Activation

It is assumed that environment of TOE provides secure activation steps at the beginning of the TOE operation phase and after each maintenance process.

A. AuthorisedService

It is assumed that repairing is done by trusted authorized services. The repairing step is processed in a manner which maintains legal limits.

A.Ext_Key

It is assumed that External Device (EFT-POS/SMART PINPAD) generates strong key for communicating with TOE and stores it in a secure way.

A.Ext_Device Pairing

It is assumed that External Device and TOE are paired by Authorized Service.

4. SECURITY OBJECTIVES

This chapter describes security objectives for the TOE and its operational environment.

4.1. Security Objectives for the TOE

This part describes security objectives provided by the TOE.

O.AccessControl

TOE must control authenticated user's access to functions and data by using authorization mechanism.

O.Event

TOE must record important events stated as in PRA Messaging Protocol Document [6].

O.Integrity

TOE must provide integrity for sales data, event data, characterization data, authentication data, sensitive data, server certificates and FCR parameters located in the FCR and between the distributed memory units.

O.Authentication

TOE must run authentication mechanism for users and systems.

O.Function

TOE must ensure that processing of inputs to derive sales data and event data is accurate.

TOE must ensure that time information is accurate by doing anomaly detection.

TOE must enter a maintenance mode when maintenance mode events occur in section 3.1.3

O.Transfer

TOE must provide confidentiality, integrity and authenticity for sales data, event data, characterization data transferred to the PRA-IS and FCR parameters transferred from TSM. TOE must provide confidentiality, integrity and authenticity for information send/received during external device communication.

4.2. Security Objectives for the Operational Environment

This part describes security objectives provided by the operational environment.

OE.Manufacturing

Manufacturer should ensure that FCR is protected against physical attacks during manufacturing.

OE.Delivery

Authorised Manufacturer User must ensure that delivery and activation of the TOE done by a secure way.

OE.KeyGeneration

Asymmetric key and certificate generation mechanism shall be compatible with ITU X.509 format and accessible only by trusted persons.

OE.SecureStorage

Asymmetric private key shall be stored within smartcard.

Sensitive Data, all certificates, event data, characterization data and sales data shall be stored within secure environment protected by electronic seal.

OE.KeyTransportation

Transportation and installation of asymmetric private key to the FCR must be done by protecting their confidentiality and integrity. In addition to this, transportation and installation of server certificates, Certification Authority root and sub-root certificates, FCR certificates and update control certificates must be done by protecting their integrity.

OE.TestEnvironment

Before FCR activation; test interfaces (functions, parameters) inserted in TOE shall be disabled or removed.

OE.StrongAlgorithm

Environment of TOE shall use asymmetric private keys for signature operation by using libraries of smartcard. These libraries used in FCR shall be strong. They should also have protection against side channel analysis (SPA, DPA, SEMA, and DEMA).

OE.UpgradeSoftware

FCR software updates should be get passed verdict from Common Criteria maintenance or reevaluation procedures (according to update type) before installed to the FCR. This will be validated by the FCR, using the cryptographic signature control methods.

OE.TrustedUser

Users shall act responsibly.

OE.Control

PRA Onsite Auditor must check FCR functionality by controlling tax amount on the receipt and tax amount sent to the PRA-IS.

OE.External Device

External Device should generate strong key for communicating with TOE and should strore it in a secure way.

OE.Ext_Pairing

External Device should be paired with TOE by only Authorised Service.

OE.SecureEnvironment

Fiscal memory shall not accept transactions with negative amounts which results in a decrease of total tax value.

Tampering protection system shall protect fiscal memory with mesh cover.

Environment of TOE provides secure initialization steps. Initialization step is consist of secure boot of operating system, and integrity check for TSF data.

4.3. Security Objective Rationale

Table 2 provides security problem definition covered by security objectives. Threats and OSPs are addressed by security objectives or the TOE and its operational environment. Assumptions are addressed by only security objectives of the operational environment.

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Table 2 Security Objectives Rationale

	Threats									OSPs								Assumptions							
	T.AccessControl	T. Authentication	T.MDData	T.Eavesdropping	T.Server Counterfeiting	T.Skimming	T.Counterfeit	T.Malfunction	T.ChangingTime	P.Certificate	P.Certificates Installation	P.SecureEnvironment	P.PhysicalTamper	P.PKI	P.InformationLeakage	P.Comm_EXT	P.UpdateControl	A.Ext_Key	A.TrustedManufacturer	A.Control	A. AuthorisedService	A.Initialisation	A.Activation	A.Ext_Device Pairing	A.TrustedUser
O.AccessControl	X								X				X				X								
O.Event	X	X	X	X	X		X	X	X			X	X												
O.Integrity			X	X	X		X					X	X												
O.Authentication		X				X																			
O.Function							X	X				X													
O.Transfer			X	X												X									
OE.External Device																		X							
OE.Manufacturing																			X						

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	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
OE.Delivery																		X				X	
OE.KeyGeneration										X											X		
OE.SecureStorage			X	X	X		X				X		X										
OE.KeyTransportation											X		X								X		
OE.TestEnvironment																		X					
OE.StrongAlgorithm															X								
OE.UpgradeSoftware																X							
OE.TrustedUser																			X				X
OE.Control																	X						
OE.SecureEnvironment												X	X								X		
OE.Ext_Pairing																						X	

Justification about **Hata! Başvuru kaynağı bulunamadı.** is given below;

T.AccessControl is addressed by O.AccessControl to control user access to functions and data; O.Event to log all access attempts.

T.Authentication is addressed by O.Authentication to ensure that if user is authenticated to the FCR; O.Event to log successful/unsuccessful authentication attempts.

T.MDData is addressed by O.Integrity to ensure integrity of sales data, event data, characterization data, authentication data and FCR parameters in FCR with logical and physical security features; O.Transfer to ensure integrity, confidentiality and authenticity of sales data, event data and characterization data during transferring to PRA-IS and parameters during transfering from TSM to FCR ; O.Event to log unexpected behavior of these memories and unexpected behavior in transferring data; OE. SecureStorage to provide secure environment for Sensitive Data, all certificates, event data, characterization data and sales data

T.Eavesdropping is addressed by O.Transfer to ensure confidentiality of sales data, event data and characterization data during communication with PRA-IS; O.Integrity to ensure the integrity of event data, sales data and characterization data; O.Event to log physical tamper; by OE. SecureStorage to provide secure environment for event data, characterization data and sales data.

T.Server Counterfeiting is addressed by O.Integrity to ensure the integrity of server certificates (P_{PRA}, $P_{PRA-SIGN}$); O.Event to log physical tamper; OE. SecureStorage to provide secure environment for server certificates

T.Skimming is addressed by O.Authentication to establish communication only with permitted systems.

T.Counterfeit is addressed by O.Integrity to ensure the integrity of sensitive data; O.Event to log physical tamper; OE.SecureStorage to provide secure environment for sensitive data.

T.Malfunction is addressed by O.Function to ensure functions processing accurately; O.Event to log unexpected behavior of functions.

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T.ChangingTime is addressed by O.Event to log unexpected changes in time information; by O.Access Control to control user access to time information; by O.Function to ensure accuracy of time information.

P.Certificate is fulfilled by OE.KeyGeneration.

P.Certificate Installation is fulfilled OE.KeyTransportation and OE.SecureStorage

P.SecureEnvironment is fulfilled by OE.SecureEnvironment, O.Event, O.Integrity and O.Function.

P.PhysicalTamper is fulfilled by OE.SecureEnvironment, O.AccessControl, O.Event, O.Integrity and OE.SecureStorage

P.PKI is fulfilled by OE.KeyTransportation

P.InformationLeakage is fulfilled by OE.StrongAlgorithm to ensure that cryptographic algorithms used by FCR have side channel protection.

P.Comm_EXT is fulfilled by O.Transfer.

P. UpdateControl is upheld by OE.UpgradeSoftware and O.AccessControl

A.Ext_Key is upheld OE.External Device.

A. TrustedManufacturer is upheld by OE.Manufacturing and OE.TestEnvironment.

A.Control is upheld by OE.Control.

A. AuthorisedService is upheld by OE.TrustedUser.

A.Initialisation is upheld by OE.KeyGeneration, OE.SecureEnvironment and OE.KeyTransportation.

A.Activation is upheld by OE.Delivery.

A. TrustedUser is upheld by OE.TrustedUser.

A.Ext_Device Pairing is upheld by OE.Ext_Pairing

A.Ext_Device Pairing is upheld by OE.Ext_Pairing

5. EXTENDED COMPONENTS DEFINITION

This Security Target does not use any components defined as extensions to CC part 2.

6. SECURITY REQUIREMENTS

6.1. Security Functional Requirements for the TOE

This chapter defines the security functional requirements for the TOE according to the functional requirements components drawn from the CC part 2 version 3.1 revision 4.

6.1.1. Class FAU Security Audit

6.1.1.1. FAU_GEN Security audit data generation

FAU_GEN.1 Audit data generation

Hierarchical to: No other components.

Dependencies: FPT_STM.1 Reliable time stamps

FAU_GEN.1.1   The TSF shall be able to generate an audit record of the following auditable events:

a) Start-up and shutdown of the audit functions;

b) All auditable events for the [***not specified***] level of audit; and

c) [The auditable security events specified in PRA Messaging Protocol Document [6]].[1]

FAU_GEN.1.2   The TSF shall record within each audit record at least the following information:

a) Date and time of the event, type of event, subject identity (if applicable), and the outcome (success or failure) of the event; and

b) For each audit event type, based on the auditable event definitions of the functional components included in the PP/ST, [none].

6.1.1.2. FAU_SAR Security audit review

FAU_SAR.1 Audit review

Hierarchical to:	No other components.
Dependencies:	FAU_GEN.1 Audit data generation.

FAU_SAR.1.1   The TSF shall provide [Authorized Manufacturer User] with the capability to read [all event data] from the audit records.

FAU_SAR.1.2   The TSF shall provide the audit records in a manner suitable for the user to interpret the information.

6.1.1.3. FAU_STG Security audit event storage

FAU_STG.1 Protected audit trail storage

Hierarchical to:	No other components.
Dependencies:	FAU_GEN.1 Audit data generation

FAU_STG.1.1   The TSF shall protect the stored audit records in the audit trail from unauthorized deletion.

FAU_STG.1.2   The TSF shall be able to [*prevent*] unauthorized modifications to the stored audit records in the audit trail.

FAU_STG.4 Prevention of audit data loss

Hierarchical to:	FAU_STG.3 Action in case of possible audit data loss
Dependencies:	FAU_STG.1 Protected audit trail storage

FAU_STG.4.1   The TSF shall [*overwrite the oldest stored audit records*] and [none] if the audit trail is full.

6.1.2. Class FCO Communication

6.1.2.1. FCO_NRO Non-repudiation of origin

FCO_NRO.2 Enforced proof of origin

Hierarchical to:	FCO_NRO.1 Selective proof of origin
Dependencies:	FIA_UID.1 Timing of identification

FCO_NRO.2.1   The TSF shall enforce the generation of evidence of origin for transmitted [sales data and event data] at all times.

FCO_NRO.2.2 The TSF shall be able to relate the [underline]originator identity, time of origin[/underline] of the originator of the information, and the [underline]body of the message[/underline] of the information to which the evidence applies.

FCO_NRO.2.3 The TSF shall provide a capability to verify the evidence of origin of information to [***recipient***] given [underline]immediately[/underline].

6.1.3. Class FCS Cryptographic Support

6.1.3.1. FCS_CKM Cryptographic key management

FCS_CKM.1/ TRMK Cryptographic key generation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or

FCS_COP.1 Cryptographic operation]

FCS_CKM.4 Cryptographic key destruction

FCS_CKM.1.1 The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm [underline]RNG[/underline] and specified cryptographic key sizes [underline]256 bits[/underline] that meet the following: [none].

FCS_CKM.2 Cryptographic key distribution

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security   attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_CKM.2.1 The TSF shall distribute cryptographic keys in accordance with a specified cryptographic key distribution method [underline]according to PRA Messaging Protocol Document [6][/underline]] that meets the following: [none].

FCS_CKM.1/TLS_AES Cryptographic key generation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or

                           FCS_COP.1 Cryptographic operation]

                           FCS_CKM.4 Cryptographic key destruction

FCS_CKM.1.1   The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm [PRF] and specified cryptographic key sizes [AES: 128 bits] that meet the following: [RFC 5246].

FCS_CKM.1/TLS_HMAC Cryptographic key generation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or

                           FCS_COP.1 Cryptographic operation]

                           FCS_CKM.4 Cryptographic key destruction

FCS_CKM.1.1   The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm [PRF] and specified cryptographic key sizes [256 bits] that meet the following: [RFC 5246].

FCS_CKM.1/DHE-KEY Cryptographic key generation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or

                           FCS_COP.1 Cryptographic operation]

                           FCS_CKM.4 Cryptographic key destruction

FCS_CKM.1.1   The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm [RNG] and specified cryptographic key sizes [2048 bits] that meet the following: [none].

FCS_CKM.1/EXT-DEV K$_{HMAC}$ Cryptographic key generation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or

                         FCS_COP.1 Cryptographic operation]

                         FCS_CKM.4 Cryptographic key destruction

FCS_CKM.1.1   The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm [PRF] and specified cryptographic key sizes [256 bits] that meet the following: [RFC 5246].

FCS_CKM.1/EXT-DEV K$_{ENC}$ Cryptographic key generation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or

                         FCS_COP.1 Cryptographic operation]

                         FCS_CKM.4 Cryptographic key destruction

FCS_CKM.1.1   The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm [PRF] and specified cryptographic key sizes [AES: 256 bits] that meet the following: [RFC 5246].

FCS_CKM.4 Cryptographic key destruction

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

                         FDP_ITC.2 Import of user data with security attributes, or

                         FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4.1   The TSF shall destroy cryptographic keys in accordance with a specified cryptographic key destruction method [overwrite the previous key] that meets the following: [none].

Application Note 2: Keys shall be deleted according to below Table .

Table 3 Key Management Table

Keys	When
TREK	➢ The usage number that is specified <u>PRA Messaging Protocol Document [6]</u> is exceeded ➢ Electronic seal is opened by authorized/unauthorized user
TRAK	➢ The usage number that is specified <u>PRA Messaging Protocol Document [6]</u> is exceeded ➢ Electronic seal is opened by authorized/unauthorized user
TRMK	After key transport from PRA-IS to TOE for TREK and TRAK
K_{ENC}	➢ Conditions specified in External Device Communication Protocol Document [7] occur ➢ The usage number that is specified External Device Communication Protocol Document [7] is exceeded
K_{HMAC}	➢ Conditions specified in External Device Communication Protocol Document [7] occur ➢ The number that is specified External Device Communication Protocol Document[7] is exceeded
DHE-KEY	After key agreement between TOE and External Device

Application Note: TOE destruct the TREK and TRAK key when electronic seal is opened by authorized and unauthorized user. However, the destruction only take place after TOE is started if the seal is opened when TOE is offline. In addition to the list of conditions TOE destruct the TREK and TRAK key when the following conditions occur;

      a. If TOE switch to maintenance mode, TOE will destruct the keys after started in secure mode.

      b. When authorized manufacturer user log-in to the TOE, TOE will destruct the keys.

6.1.3.2. FCS_COP/ ENC - DEC Cryptographic operation

FCS_COP.1/TREK Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1   The TSF shall perform [encryption] in accordance with a specified
cryptographic algorithm [AES in CBC mode] and cryptographic key sizes
[AES: 256 bits] that meet the following: [NIST SP800-38A
(CBC.AES256)].

FCS_COP.1/TRAK Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1   The TSF shall perform [encryption and decryption for integrity protection]
in accordance with a specified cryptographic algorithm [AES in CBC
mode] and cryptographic key sizes [AES: 256 bits] that meet the
following: [NIST SP800-38A (CBC.AES256)].

FCS_COP.1/TRMK-DEC Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

                       FDP_ITC.2 Import of user data with security attributes, or

                       FCS_CKM.1 Cryptographic key generation]

                       FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1   The TSF shall perform [decryption] in accordance with a specified

                       cryptographic algorithm [AES in CBC mode] and cryptographic key

                       sizes [256 bits] that meet the following: [NIST SP800-38A

                       (CBC.AES256)].

FCS_COP.1/PUB-ENC Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

                       FDP_ITC.2 Import of user data with security attributes, or

                       FCS_CKM.1 Cryptographic key generation]

                       FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1   The TSF shall perform [encryption] in accordance with a specified

                       cryptographic algorithm [RSA] and cryptographic key sizes [2048 bits]

                       that meet the following: [PKCS#1 v2.1 (RSAES-PKCS1-v1_5)].

FCS_COP.1/SIGN-VER Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1 The TSF shall perform [signature verification] in accordance with a specified cryptographic algorithm [RSA] and cryptographic key sizes [2048 bits] that meet the following: [PKCS#1 v1.5, SHA256 Type 2 (random padding)].

FCS_COP.1/ENC-DEC Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1 The TSF shall perform [encryption and decryption] in accordance with a specified cryptographic algorithm [AES] and cryptographic key sizes [AES: 128 bits and AES: 256 bits] that meet the following: [NIST SP800-38A].

FCS_COP.1/INT-AUTH Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1 The TSF shall perform [authentication and integrity protection] in accordance with a specified cryptographic algorithm [HMAC-SHA256]

and cryptographic key sizes [256 bits] that meet the following: [FIPS 198-1 and NIST FIPS PUB 180-2].

FCS_COP.1/HASHING Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1 The TSF shall perform [hashing] in accordance with a specified cryptographic algorithm [SHA2] and cryptographic key sizes [none] that meet the following: [NIST FIPS PUB 180-2].

FCS_COP.1/ EXT-DEV KEYEXCHANGE Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1 The TSF shall perform [key agreement] in accordance with a specified cryptographic algorithm [DHE] and cryptographic key sizes [2048 bits] that meet the following: [NIST SP 800-56A].

FCS_COP.1/ EXT-DEV K$_{ENC}$ Cryptographic operation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1   The TSF shall perform [encryption and decryption] in accordance with a specified cryptographic algorithm [AES with CBC] and cryptographic key sizes [256 bits] that meet the following: [NIST SP 800-38A (CBC AES256)].

FCS_COP.1/ EXT-DEV K_{HMAC} **Cryptographic operation**

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.4 Cryptographic key destruction

FCS_COP.1.1   The TSF shall perform [encryption and decryption for integrity protection] in accordance with a specified cryptographic algorithm [HMAC-SHA256] and cryptographic key sizes [256 bits] that meet the following: [FIPS 198-1 and NIST FIPS PUB 180-2].

6.1.4.   Class FDP User Data Protection

6.1.4.1.   FDP_ACC Access control policy

FDP_ACC.1 Subset access control

Hierarchical to: No other components.

Dependencies: FDP_ACF.1 Security attribute based access control

FDP_ACC.1.1   The TSF shall enforce the [Administrative Access Control SFP] on

[Subjects: FCR Authorized User and Authorized Manufacturer User

Objects: Sales and event data, exchange rates, time information

Operations: Secure state mode and maintenance mode actions],

6.1.4.2.   FDP_ACF Access control functions

FDP_ACF.1 Security attribute based access control

Hierarchical to: No other components.

Dependencies: FDP_ACC.1 Subset access control

                  FMT_MSA.3 Static attribute initialization

FDP_ACF.1.1   The TSF shall enforce the [Administrative Access Control SFP] to objects based on the following [Subjects: FCR Authorized User and Authorized Manufacturer User

                  Subject Attributes: Privileges

                  Objects: Sales and event data, exchange rates, time information

                  Object Attributes: Access Control List (Secure State Mode and maintenance mode access rights)

                  Operations: Secure State Mode and Maintenance Mode actions describe in

Hata! Başvuru kaynağı bulunamadı.].

FDP_ACF.1.2   The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed: [verify the operator's privileges].

FDP_ACF.1.3   The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: [none].

FDP_ACF.1.4   The TSF shall explicitly deny access of subjects to objects based on the following additional rules: [none].

6.1.4.3. FDP_ETC Export from the TOE

FDP_ETC.2/TSM Export of user data with security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

                  FDP_IFC.1 Subset information flow control]

FDP_ETC.2.1   The TSF shall enforce the [Information Flow Control SFP with TSM and PRA-IS] when exporting user data, controlled under the SFP(s), outside of the TOE.

FDP_ETC.2.2   The TSF shall export the user data with the user data's associated security attributes.

FDP_ETC.2.3   The TSF shall ensure that the security attributes, when exported outside the TOE, are unambiguously associated with the exported user data.

FDP_ETC.2.4   The TSF shall enforce the following rules when user data is exported from the TOE: [Communication with secure messaging according to PRA Messaging Protocol Document [6]].

FDP_ETC.2 /EFT-POS/SMART PINPAD Export of user data with security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

                     FDP_IFC.1 Subset information flow control]

FDP_ETC.2.1   The TSF shall enforce the [Information Flow Control SFP with EFT-POS/SMART PINPAD Device] when exporting user data, controlled under the SFP(s), outside of the TOE.

FDP_ETC.2.2   The TSF shall export the user data with the user data's associated security attributes.

FDP_ETC.2.3   The TSF shall ensure that the security attributes, when exported outside the TOE, are unambiguously associated with the exported user data.

FDP_ETC.2.4   The TSF shall enforce the following rules when user data is exported from the TOE: [Communication with secure messaging according to External Device Communication Protocol Document [7]].

Application Note 3: User data (sales data, event data and TRMK) are exported from FCR to the PRA-IS via TSM.

6.1.4.4.   FDP_IFC Information flow control policy

FDP_IFC.1/TSMCOMMUNICATION Subset information flow control

Hierarchical to: No other components.

Dependencies: FDP_IFF.1 Simple security attributes

FDP_IFC.1.1   The TSF shall enforce the [Information Flow Control SFP with TSM and PRA- IS] on [subjects (TSM and PRA-IS) and objects (sales data,

event_data reports, FCR parameters, TREK, TRAK and TRMK) as specified in PRA Messaging Protocol Document [6]].

FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION Subset information flow control

Hierarchical to: No other components.

Dependencies: FDP_IFF.1 Simple security attributes

FDP_IFC.1.1   The TSF shall enforce the [Information Flow Control SFP with EFT-POS/SMART PINPAD Device] on [subjects (EFT-POS/SMART PINPAD) and objects (amount information in sales data and outcome of the operation) as specified in External Device Communication Protocol Document [7]].

6.1.4.5.   FDP_IFF Information flow control functions

FDP_IFF.1/TSMCOMMUNICATION Simple security attributes

Hierarchical to: No other components.

Dependencies: FDP_IFC.1 Subset information flow control

FMT_MSA.3 Static attribute initialization

FDP_IFF.1.1   The TSF shall enforce the [Information Flow Control SFP with TSM and PRA-IS] based on the following types of subject and information security attributes: [TOE has ability to send reports related to sales data and event_data and TRMK to PRA-IS by using subject identifier(IP/Port information) and object identifier (file name); TOE has ability to receive TREK and TRAK from PRA-IS by using subject identifier (IP/Port information) and object identifier (information label) according to PRA Messaging Protocol Document [6]; TOE has ability to receive FCR parameters from TSM by using subject identifier (IP/Port information) and object identifier (information label) according to PRA Messaging Protocol Document [6]].

FDP_IFF.1.2   The TSF shall permit an information flow between a controlled subject and controlled information via a controlled operation if the following rules hold: [Communication with secure messaging according to PRA Messaging Protocol Document [6]].

FDP_IFF.1.3   The TSF shall enforce the [none].

FDP_IFF.1.4   The TSF shall explicitly authorize an information flow based on the following rules: [none].

FDP_IFF.1.5   The TSF shall explicitly deny an information flow based on the following rules: [none].

FDP_IFF.1/EFT-POS/SMART PINPADCOMMUNICATION Simple security attributes

Hierarchical to: No other components.

Dependencies: FDP_IFC.1 Subset information flow control

                    FMT_MSA.3 Static attribute initialization

FDP_IFF.1.1   The TSF shall enforce the [Information Flow Control SFP with EFT-POS/SMART PINPAD Device] based on the following types of subject and information security attributes: [TOE has ability to send amount information to EFT-POS/SMART PINPAD Device by using subject identifier (EFT-POS/SMAR TPINPAD label and source port).TOE has ability to receive outcome of the operation conducted by the EFT-POS/SMART PINPAD Device by using subject identifier (source port)]

FDP_IFF.1.2   The TSF shall permit an information flow between a controlled subject and controlled information via a controlled operation if the following rules hold: [Communication with secure messaging according to External Device Communication Protocol Document [7]].

FDP_IFF.1.4   The TSF shall explicitly authorize an information flow based on the following rules: [none].

FDP_IFF.1.5   The TSF shall explicitly deny an information flow based on the following rules: [none].

6.1.4.6. FDP_ITC Import from the outside of the TOE

FDP_ITC.2/TSM Import of user data with security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

[FTP_ITC.1 Inter-TSD trusted channel, or

FTP_TRP.1 Trusted Path]

FPT_TDC.1 Inter-TSF basic TSF data consistency

FDP_ITC.2.1 The TSF shall enforce the [Information Flow Control SFP with TSM and PRA-IS] when importing user data, controlled under the SFP, from outside of the TOE.

FDP_ITC.2.2   The TSF shall use the security attributes associated with the imported user data.

FDP_ITC.2.3 The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the user data received.

FDP_ITC.2.4 The TSF shall ensure that interpretation of the security attributes of the imported user data is as intended by the source of the user data.

FDP_ITC.2.5 The TSF shall enforce the following rules when importing user data controlled under the SFP from outside the TOE: [Communication with secure messaging according to PRA Messaging Protocol Document [6]].

Application Note 4: FCR parameters are imported from TSM to TOE. TREK and TRAK are imported from PRA-IS to TOE.

FDP_ITC.2/EFT-POS/SMART PINPAD Import of user data with security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

[FTP_ITC.1 Inter-TSD trusted channel, or

FTP_TRP.1 Trusted Path]

FPT_TDC.1 Inter-TSF basic TSF data consistency

FDP_ITC.2.1 The TSF shall enforce the [Information Flow Control SFP with EFT-POS/SMART PINPAD Device] when importing user data, controlled under the SFP, from outside of the TOE.

FDP_ITC.2.2   The TSF shall use the security attributes associated with the imported user data

FDP_ITC.2.3   The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the user data received.

FDP_ITC.2.4   The TSF shall ensure that interpretation of the security attributes of the imported user data is as intended by the source of the user data.

FDP_ITC.2.5   The TSF shall enforce the following rules when importing user data controlled under the SFP from outside the TOE: [Communication with secure messaging according to External Device Communication Protocol Document [7]].

6.1.4.6   FDP_SDI Stored data integrity

FDP_SDI.2/MEMORY Stored data integrity monitoring and action

Hierarchical to: FDP_SDI.1 Stored data integrity monitoring

Dependencies: No dependencies.

FDP_SDI.2.1   The TSF shall monitor ~~user data~~ **sales data stored in fiscal memory and ERU, event data, characterization data** stored in containers controlled by the TSF for [integrity errors] ~~on all objects, based on the following attributes: [assignment: user data attributes].~~

FDP_SDI.2.2   Upon detection of a data integrity error, the TSF shall [generate an audit event and then enter into the maintenance mode].

FDP_SDI.2/DAILY and PRMTR Stored data integrity monitoring and action

Hierarchical to: FDP_SDI.1 Stored data integrity monitoring

Dependencies: No dependencies.

FDP_SDI.2.1   The TSF shall monitor ~~user data~~ **sales data stored in** ~~containers~~ **daily memory and FCR parameters** stored in containers controlled by the TSF for [integrity errors] ~~on all objects, based on the following attributes: [assignment: user data attributes].~~

FDP_SDI.2.2   Upon detection of a data integrity error, the TSF shall [generate an audit event and print Z report automatically].

6.1.5. Class FIA Identification and Authentication

6.1.5.1. FIA_AFL Authentication failures

FIA_AFL.1/MANUFACTURER Authentication failure handling

Hierarchical to: No other components.

Dependencies: FIA_UAU.1 Timing of authentication

FIA_AFL.1.1   The TSF shall detect when [*[3]*] unsuccessful authentication attempts occur related to [Authorized Manufacturer User authentication].

FIA_AFL.1.2   When the defined number of unsuccessful authentication attempts has been **[*met*]**, the TSF shall [lock authorized manufacturer user account for 30 min].

FIA_AFL.1/AUTHORISED Authentication failure handling

Hierarchical to: No other components.

Dependencies: FIA_UAU.1 Timing of authentication

FIA_AFL.1.1   The TSF shall detect when [[**3**]] unsuccessful authentication attempts occur related to [FCR Authorized User].

FIA_AFL.1.2   When the defined number of unsuccessful authentication attempts has been *[met]*, the TSF shall [disable authorized user account].

6.1.5.2. FIA_UAU User authentication

FIA_UAU.1 Timing of authentication

Hierarchical to: No other components.

Dependencies: FIA_UID.1 Timing of identification

FIA_UAU.1.1   The TSF shall allow [to do fiscal sales and to get FCR reports (except fiscal reports)] on behalf of the user to be performed before the user is authenticated.

FIA_UAU.1.2   The TSF shall require each user to be successfully authenticated before allowing any other TSF-mediated actions on behalf of that user.

FIA_UAU.4 Single-use authentication mechanisms

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_UAU.4.1   The TSF shall prevent reuse of authentication data related to [the authentication mechanism employed to authenticate Authorized Manufacturer User].

6.1.5.3.   FIA_UID User Identification

FIA_UID.1 Timing of identification

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_UID.1.1   The TSF shall allow [to do fiscal sales and to get FCR report (except fiscal reports)] on behalf of the user to be performed before the user is identified.

FIA_UID.1.2   The TSF shall require each user to be successfully identified before allowing any other TSF-mediated actions on behalf of that user.

6.1.6.   Class FMT Security Management

6.1.6.1.   FMT_MOF Management of security functions behavior

FMT_MOF.1 Management of security functions behaviour

Hierarchical to: No other components.

Dependencies: FMT_SMR.1 Security roles

   FMT_SMF.1 Specification of Management Functions

FMT_MOF.1.1   The TSF shall restrict the ability to *[modify the behavior of]* the functions [new generation cash register fiscal application software normal operation functions] to ~~[assignment: the authorized identified roles]~~ **nobody**.

Application Note 5: No authorized user makes the changes on the behavior of the functions. The TSF itself makes the behavioral changes according to the FCR parameters received from TSM.

Application Note 6: Ability to Modification of behavior shall be used according to PRA directives. Normal operation functions includes all FCR parameters that are sent to FCR by TSM.

6.1.6.2. FMT_MSA Management of security attributes

FMT_MSA.1/PRIVILEGES Management of security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

FMT_SMR.1 Security roles

FMT_SMF.1 Specification of Management Functions

FMT_MSA.1.1   The TSF shall enforce the [Administrative Access Control SFP] to restrict the ability to *[modify]* the security attributes [Privileges and Access Control List] to [none].

FMT_MSA.1/ IP: PORT INFO Management of security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

FMT_SMR.1 Security roles

FMT_SMF.1 Specification of Management Functions

FMT_MSA.1.1   The TSF shall enforce the [Information Flow Control SFP with TSM and PRA-IS] to restrict the ability to *[modify]* the security attributes [IP:Port Information] to [Authorized Manufacturer User].

FMT_MSA.1/FILE NAME and INFO-LABEL Management of security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

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FDP_IFC.1 Subset information flow control]

FMT_SMR.1 Security roles

FMT_SMF.1 Specification of Management Functions

FMT_MSA.1.1   The TSF shall enforce the [Information Flow Control SFP with TSM and PRA-IS] to restrict the ability to *[modify]* the security attributes [file name and information label] to [none].

FMT_MSA.1/EFT-POS/SMART PINPAD SOURCE PORT INFO Management of security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

FMT_SMR.1 Security roles

FMT_SMF.1 Specification of Management Functions

FMT_MSA.1.1   The TSF shall enforce the [Information Flow Control SFP with EFT_POS Device] to restrict the ability to *[modify]* the security attributes [Source Port] to [none].

FMT_MSA.1/ EFT-POS/SMART PINPAD LABEL INFO Management of security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

FMT_SMR.1 Security roles

FMT_SMF.1 Specification of Management Functions

FMT_MSA.1.1   The TSF shall enforce the [Information Flow Control SFP with EFT_POS Device] to restrict the ability to *[modify]* the security attributes [EFT-POS/SMART PINPAD Label] to [none].

FMT_MSA.3/USERS and SYSTEMS Static attribute initialization

Hierarchical to: No other components.

Dependencies: FMT_MSA.1 Management of security attributes

                           FMT_SMR.1 Security roles

FMT_MSA.3.1   The TSF shall enforce the [Administrative Access Control SFP, Information Flow Control SFP with TSM and PRA-IS] to provide *[restrictive]* default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2   The TSF shall allow the [none] to specify alternative initial values to override the default values when an object or information is created.

FMT_MSA.3/EFT-POS/SMART PINPAD Static attribute initialization

Hierarchical to: No other components.

Dependencies: FMT_MSA.1 Management of security attributes

                           FMT_SMR.1 Security roles

FMT_MSA.3.1   The TSF shall enforce the [Information Flow Control SFP with EFT-POS/SMARTPINPAD Device] to provide *[permissive]* default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2   The TSF shall allow the [none] to specify alternative initial values to override the default values when an object or information is created.

6.1.6.3. FMT_MTD Management of TSF data

FMT_MTD.1/ FCR AUTHORISED USER Management of TSF data

Hierarchical to: No other components.

Dependencies: FMT_SMR.1 Security roles

                           FMT_SMF.1 Specification of Management Functions

FMT_MTD.1.1   The TSF shall restrict the ability to *[modify]* the [FCR Authorized User's authentication data] to [FCR Authorized User, Authorized Manufacturer User].

FMT_MTD.1/ AUTHORIZED MANUFACTURER USER Management of TSF data

Hierarchical to: No other components.

Dependencies: FMT_SMR.1 Security roles

FMT_SMF.1 Specification of Management Functions

FMT_MTD.1.1   The TSF shall restrict the ability to *[create]* the [Authorized Manufacturer User's authentication data] to [assignment: the authorized identified roles] [**nobody**].

Application Note 7: No authorized identified roles *make the changes on Authorized Manufacturer User's authentication data but TSM creates it.*

6.1.6.4. FMT_SMF Specification of Management Functions

FMT_SMF.1 Specification of Management Functions

Hierarchical to: No other components.

Dependencies: No dependencies.

FMT_SMF.1.1   The TSF shall be capable of performing the following management functions: [Authorized Manufacturer User modifies IP: Port Information] [none]

6.1.6.5. FMT_SMR Security management roles

FMT_SMR.2 Restrictions on security roles

Hierarchical to: FMT_SMR.1 Security roles

Dependencies: FIA_UID.1 Timing of identification

FMT_SMR.2.1   The TSF shall maintain the roles: [FCR Authorized User, Authorized Manufacturer User],

FMT_SMR.2.2   The TSF shall be able to associate users with roles.

FMT_SMR.2.3   The TSF shall ensure that the conditions [Authorized Manufacturer User shall take action in maintenance works and FCR Authorized User take action in secure state works], are satisfied.

6.1.7. Class FPT Protection of the TSF

6.1.7.1. FPT_FLS Fail secure

FPT_FLS.1 Failure with preservation of secure state

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_FLS.1.1   The TSF shall preserve a secure state when the following types of   failures occur:[except maintenance mode events that specified in section **Hata! Başvuru kaynağı bulunamadı.**]

6.1.7.2. FPT_PHP TSF physical protection

FPT_PHP.2 Notification of physical attack

Hierarchical to: FPT_PHP.1 Passive detection of physical attack

Dependencies: FMT_MOF.1 Management of security functions behaviour

FPT_PHP.2.1   The TSF shall provide unambiguous detection of physical tampering that might compromise the TSF.

FPT_PHP.2.2   The TSF shall provide the capability to determine whether physical tampering with the TSF's devices or TSF's elements has occurred.

FPT_PHP.2.3   For [the devices/elements for which active detection is required in technical guidance document [5]], the TSF shall monitor the devices and elements and notify [all users], when physical tampering with the TSF's devices or TSF's elements has occurred.

6.1.7.3. FPT_RCV Trusted recovery

FPT_RCV.1 Manual recovery

Hierarchical to: No other components.

Dependencies: AGD_OPE.1 Operational user guidance

FPT_RCV.1.1   After [maintenance mode events which expressed in section 3.1.3 occur] the TSF shall enter a maintenance mode where the ability to return to a secure state is provided.

FPT_RCV.4 Function recovery

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_RCV.4.1   The TSF shall ensure that [except maintenance mode events that specified in section 3.1.3] have the property that the function either completes successfully, or for the indicated failure scenarios, recovers to a consistent and secure state.

6.1.7.4. FPT_STM Time stamps

FPT_STM.1 Reliable time stamps

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_STM.1.1   The TSF shall be able to provide reliable time stamps.

6.1.7.5. FPT_TDC Inter-TSF TSF data consistency

FPT_TDC.1/TSM Inter-TSF basic TSF data consistency

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_TDC.1.1   The TSF shall provide the capability to consistently interpret [CheckSum] when shared between the TSF and another trusted IT product.

FPT_TDC.1.2   The TSF shall use [Communication with secure messaging according to PRA Messaging Protocol Document [6]] when interpreting the TSF data from another trusted IT product.

FPT_TDC.1/EFT-POS/SMART PINPAD Inter-TSF basic TSF data consistency

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_TDC.1.1   The TSF shall provide the capability to consistently interpret [CheckSum] when shared between the TSF and another trusted IT product.

FPT_TDC.1.2   The TSF shall use [Communication with secure messaging according to External Device Communication Protocol Document [7]] when interpreting the TSF data from another trusted IT product.

6.1.7.6. FPT_TEE Testing of external entities

FPT_TEE.1/EXT Testing of external entities

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_TEE.1.1   The TSF shall run a suite of tests *[during initial start-up and during fiscal transactions]* to check the fulfillment of [proper working of external entities].

FPT_TEE.1.2   If the test fails, the TSF shall [generate an audit event according to PRA Messaging Protocol Document [6]].

Application Note 8: External entities are ERU, Fiscal Memory, Daily Memory, Mesh Cover and Electronic Seal.

FPT_TEE.1/TIME Testing of external entities

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_TEE.1.1   The TSF shall run a suite of tests *[during time synchronization with NTP]* to check the fulfillment of [accuracy of time information].

FPT_TEE.1.2   If the test fails, the TSF shall [sent a message box via User Interface Software to pop-up alert the user and continues operation. TOE rejects the time update if the time difference between TOE clock time and NTP clock time is more than two hours].

6.1.8. Class FTP Trusted Patch/Channels

6.1.8.1. FTP_ITC Inter-TSF trusted channel

FTP_ITC.1/TSM Inter-TSF trusted channel

Hierarchical to: No other components.

Dependencies: No dependencies.

FTP_ITC.1.1   The TSF shall provide a communication channel between itself and another trusted IT product that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from modification or disclosure.

FTP_ITC.1.2   The TSF shall permit *[the TSF]* to initiate communication via the trusted channel.

FTP_ITC.1.3   The TSF shall initiate communication via the trusted channel for [sending user data (sales, event data and TRMK) to PRA-IS; receiving user data (TREK and TRAK) from PRA-IS and receiving user data (FCR parameters and exchange rates) from TSM].

FTP_ITC.1/EFT-POS/SMART PINPAD Inter-TSF trusted channel

Hierarchical to: No other components.

Dependencies: No dependencies.

FTP_ITC.1.1   The TSF shall provide a communication channel between itself and another trusted IT product that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from modification or disclosure.

FTP_ITC.1.2   The TSF shall permit *[the TSF]* to initiate communication via the trusted channel.

FTP_ITC.1.3   The TSF shall initiate communication via the trusted channel for [sending amount information to EFT-POS/SMART PINPAD and receiving outcome of the operation from EFT-POS/SMART PINPAD].

6.2. Security Assurance Requirements for the TOE

The assurance requirements for the evaluation of the TOE and for its development and operating environment are chosen as the predefined assurance package EAL2.

6.3. Security Requirements Rationale

6.3.1. Security Functional Requirements Rationale

Hata! Başvuru kaynağı bulunamadı. provides an overview for security functional requirements coverage and also giving an evidence for sufficiency and necessity of the SFRs chosen.

Table 4 Coverage of security objectives by SFRs for TOE

		O.AccessControl	O.Event	O.Integrity	O.Authentication	O.Function	O.Transfer
FAU_GEN.1	Audit data generation		X				
FAU_SAR.1	Audit review	X					
FAU_STG.1	Protected audit trail storage			X			
FAU_STG.4	Prevention of audit data loss			X			
FCO_NRO.2	Enforced proof of origin						X
FCS_CKM.1/TRMK	Cryptographic key generation						X
FCS_CKM.2	Cryptographic key distribution						X
FCS_CKM.1/TLS_AES	Cryptographic key generation						X

FCS_CKM.1/TLS_HMAC	Cryptographic key generation						X
FCS_CKM.1/ DHE-KEY	Cryptographic key generation						X
FCS_CKM.1/ EXT-DEV K$_{ENC}$	Cryptographic key generation						X
FCS_CKM.1/ EXT-DEV K$_{HMAC}$	Cryptographic key generation						X
FCS_CKM.4	Cryptographic key destruction						X
FCS_COP.1/TREK	Cryptographic operation						X
FCS_COP.1/TRAK	Cryptographic operation						X
FCS_COP.1/ENC-DEC	Cryptographic operation						X
FCS_COP.1/INT-AUTH	Cryptographic operation						X
FCS_COP.1/HASHING	Cryptographic operation				X		
FCS_COP.1/TRMK-DEC	Cryptographic operation						X
FCS_COP.1/PUB-ENC	Cryptographic operation						X
FCS_COP.1/SIGN-VER	Cryptographic operation						X
FCS_COP.1/EXT-DEV K$_{ENC}$	Cryptographic operation						X
FCS_COP.1/EXT-DEV K$_{HMAC}$	Cryptographic operation						X
FCS_COP.1/EXT-DEV KEYEXCHANGE	Cryptographic operation						X
FDP_ACC.1	Subset access control	X					

FDP_ACF.1	Security attribute based access control	X					
FDP_ETC.2/TSM	Export of user data with security attributes						X
FDP_ETC.2 /EFT-POS/SMARTPINPAD	Export of user data with security attributes						X
FDP_IFC.1/TSMCOMMU NICATION	Subset information flow control						X
FDP_IFC.1/EFT-POS/SMARTPINPADCOM MUNICATION	Subset information flow control						X
FDP_IFF.1/TSMCOMMUN ICATION	Simple security attributes						X
FDP_IFF.1/EFT-POS/SMART PINPADCOMMUNICATI ON	Simple security attributes						X
FDP_ITC.2/TSM	Import of user data with security attributes						X
FDP_ITC.2/EFT-POS/SMART PINPAD	Import of user data with security attributes						X
FDP_SDI.2/MEMORY	Stored data integrity monitoring and action			X			
FDP_SDI.2/DAILY and PRMTR	Stored data integrity monitoring and action			X			
FIA_AFL.1/MANUFACTU RER	Authentication failure handling				X		
FIA_AFL.1/AUTHORISED	Authentication failure handling				X		
FIA_UAU.1	Timing of authentication				X		

FIA_UAU.4	Single-use authentication mechanisms				X		
FIA_UID.1	Timing of identification				X		
FMT_MOF.1	Management of security functions behavior					X	
FMT_MSA.1/PRIVILEGES	Management of security attributes	X					
FMT_MSA.1/IP:PORT INFO	Management of security attributes						X
FMT_MSA.1/FILE NAME and INFO-LABEL	Management of security attributes						X
FMT_MSA.1/EFT-POS/SMARTPINPAD SOURCE PORT INFO	Management of security attributes						X
FMT_MSA.1/EFT-POS/SMARTPINPAD LABEL INFO	Management of security attributes						X
FMT_MSA.3/USERS and SYSTEMS	Static attribute initialization	X					X
FMT_MSA.3/EFT-POS/SMARTPINPAD	Static attribute initialization						X
FMT_MTD.1/FCR AUTHORİSED USER	Management of TSF data	X			X		
FMT_MTD.1/ AUTHORİZED MANUFACTURER USER	Management of TSF data	X					
FMT_SMF.1	Specification of Management Functions	X					
FMT_SMR.2	Restrictions on security roles	X					

FPT_FLS.1	Failure with preservation of secure state					X	
FPT_PHP.2	Notification of physical attack			X			X
FPT_RCV.1	Manual recovery					X	
FPT_RCV.4	Function recovery					X	
FPT_STM.1	Reliable time stamps		X				
FPT_TDC.1/TSM	Inter-TSF basic TSF data consistency			X			
FPT_TDC.1/ EFT-POS/SMART PINPAD	Inter-TSF basic TSF data consistency			X			
FPT_TEE.1/EXT	Testing of external entities					X	
FPT_TEE.1/TIME	Testing of external entities					X	
FTP_ITC.1/TSM	Inter-TSF trusted channel						X
FTP_ITC.1/EFT-POS/SMART PINPAD	Inter-TSF trusted channel						X

A detailed justification of required for suitability of the security functional requirements to achieve the security objectives is given in **Hata! Başvuru kaynağı bulunamadı.**.

Table 5 Suitability of the SFRs

Security Objective	Security Functional Requirement	
O.AccessControl	FDP_ACC.1	Provides security functional policy for functions and data
	FDP_ACF.1	Defines security attributes for functions and data
	FAU_SAR.1	Allows users to read audit records
	FMT_MSA.1/PRIVILEGES	Provides the functions to restrict the ability to modify the security attributes (privileges) to nobody.
	FMT_MSA.3/USERS and SYSTEMS	Provides the functions to provide restrictive default values for security attributes that are used to enforce the SFP and allows nobody to specify alternative initial values to override the default values when an object or information is created.

	FMT_SMF.1	Describe the specification of management functions being allowed to use in maintenance mode and secure state mode.
	FMT_SMR.2	Maintains the roles with restrictions
	FMT_MTD.1/ FCR AUTHORISED USER	Provides authorized processing of FCR Authorized User's authentication data
	FMT_MTD.1/ AUTHORİZED MANUFACTURER USER	Provides authorized processing of FCR Manufacturer User's authentication data
O.Event	FAU_GEN.1	Generates correct audit events
	FPT_STM.1	Provides accurate time for logging events
O.Integrity	FAU_STG.1	Protects stored audit data integrity from unauthorized deletion
	FAU_STG.4	Prevents loss of audit data loss
	FPT_PHP.2	Generation of audit event detection of physical tampering
	FDP_SDI.2/MEMORY	Monitors user data stored for integrity errors

	FDP_SDI.2/DAILY and PRMTR	Monitors user data stored for integrity errors
	FPT_TDC.1/TSM	Provides the capability to consistently interpret TSF data (checksum)
	FPT_TDC.1/EFT-POS/SMART PINPAD	Provides the capability to consistently interpret TSF data (checksum)
O.Authentication	FIA_AFL.1/MANUFACTURER	Detects and records authentication failure events for Authorized Manufacturer User
	FIA_AFL.1/ AUTHORISED	Detects and records authentication failure events for FCR Authorized User
	FIA_UAU.1	Defines user authentication before allowing to do fiscal sales
	FIA_UAU.4	Provides single use authentication mechanism for Authorized Manufacturer User
	FIA_UID.1	Defines user identification before allowing to do fiscal sales
	FMT_MTD.1/ FCR AUTHORİSED USER	Provides authorized processing of FCR Authorized User's authentication data

	FMT_MTD.1/ AUTHORİZED MANUFACTURER USER	Provides processing of Authorized Manufacturer User's authentication data
	FCS_COP.1/HASHING	Provides authentication operation for PRA-IS and TSM
O.Function	FMT_MOF.1	Restricts the ability to enable the functions to nobody and, thus, prevents an unintended access to data in the operational phase.
	FPT_FLS.1	Failure types which makes new generation cash register fiscal application software continue working in secure state
	FPT_RCV.1	Provides new generation cash register fiscal application software start working in maintenance mode in failure. (has ability to switch to the secure state manually)
	FPT_RCV.4	Provides new generation cash register fiscal application software start working in maintenance mode in failure. (has ability to switch to the secure state automatically with functions)

	FPT_TEE.1/EXT	Provides test for IT environment for functioning accurately
	FPT_TEE.1/TIME	Provides test for time information for accuracy
O.Transfer	FCS_CKM.1/TLS_AES	Generates session keys for communication between FCR-PRA-IS and FCR–TSM
	FCS_CKM.1/TRMK	Generates session keys for communication between FCR-PRA-IS and FCR–TSM
	FCS_CKM.2	Provides cryptographic key distribution to generate keys
	FCS_CKM.1/TLS_HMAC	Generates session keys for communication between FCR-PRA-IS and FCR–TSM
	FMT_MSA.1/ EFT-POS/SMART PINPAD LABEL INFO	Provides the functions to restrict the ability to modify the security attribute(EFT-POS/SMART PINPAD label) to nobody
	FMT_MSA.1/FILE NAME and INFO-LABEL	Provides the functions to restrict the ability to modify the security attribute(file name) to nobody

	FMT_MSA.1/ IP:PORT INFO	Provides the functions to restrict the ability to modify the security attribute(IP/Port)to Authorized Manufacturer User
	FMT_MSA.1/EFT-POS/SMART PINPAD SOURCE PORT INFO	Provides the functions to restrict the ability to modify the security attribute(EFT-POS/SMART PINPAD source port) to nobody
	FMT_MSA.3/USERS and SYSTEMS	Provides the functions to provide restrictive default values for security attributes that are used to enforce the SFP and allows nobody to specify alternative initial values to override the default values when an object or information is created
	FMT_MSA.3/EFT-POS/SMART PINPAD	Provides the functions to provide permissive default values for security attributes that are used to enforce the SFP and allows nobody to specify alternative initial values to override the default values when an object or information is created

	FCS_CKM.4	Destroys cryptographic keys in the TOE
	FCS_COP.1/ENC-DEC	Provides the cryptographic operation for secure communication between PRA-IS and new generation cash register fiscal application software, and between TSM and new generation cash register fiscal application software
	FCS_COP.1/INT-AUTH	Provides authentication and integrity protection for communication between FCR-PRA-IS and FCR–TSM
	FCS_COP.1/TREK	Provides the cryptographic operation for secure communication between PRA-IS and TOE
	FCS_COP.1/TRAK	Provides authentication and integrity protection for communication between PRA-IS and TOE
	FCS_COP.1/TRMK-DEC	Provides the cryptographic operation for secure communication between PRA-IS and TOE
	FCS_COP.1/PUB-ENC	Provides the cryptographic operation

		for secure communication between PRA-IS-TOE
	FCS_COP.1/SIGN-VER	Provides non-repudiation for TREK and TRAK sharing between PRA-IS and TOE.
	FCS_COP.1/HASHING	Provides the cryptographic operation (hashing) for communication with TSM and PRA-IS communication
	FPT_PHP.2	Generation of audit event detection of physical tampering
	FCO_NRO.2	Generates evidence of origin of the data to be transferred to the PRA-IS
	FCS_CKM.1/ DHE-KEY	Generates private key for DHE key agreement
	FCS_COP.1/EXT-DEV K_{ENC}	Provides symmetric encryption in order to establish secure communication with External Devices.
	FCS_COP.1/ EXT-DEV K_{HMAC}	Provides authentication and integrity protection for communication with External Devices.
	FCS_CKM.1/ EXT-DEV K_{ENC}	Generates keys for communication between TOE and External Devices

	FCS_CKM.1/ EXT-DEV K$_{HMAC}$	Generates keys for communication between TOE and External Devices
	FCS_COP.1/ EXT-DEV KEYEXCHANGE	Provides agreement operation with External Devices
	FDP_ETC.2/TSM	Provides export of sales data and event data from the TOE to the PRA-IS using the information flow control SFP with TSM and PRA-IS
	FDP_ETC.2/EFT-POS/SMARTPINPAD	Provides export of amount information in sales data from the TOE to the EFT-POS/SMART PINPAD using the information flow control SFP with EFT-POS/SMART PINPAD Devices
	FDP_IFC.1/TSMCOMMUNICATION	Provides information flow control policy for TSM and PRA-IS communication
	FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION	Provides information flow control policy for EFT-POS/SMART PINPAD communication
	FDP_IFF.1/TSMCOMMUNICATION	Provides information flow control policy rules for TSM and PRA-IS communication

	FDP_IFF.1/EFT-POS/SMART PINPAD COMMUNICATION	Provides information flow control policy rules for EFT-POS/SMART PINPAD communication
	FDT_ITC.2/TSM	Provides protection of FCR Parameters confidentiality and integrity during import from TSM
	FDT_ITC.2/EFT-POS/SMART PINPAD	Provides protection of confidentiality and integrity of outcome of the operation conducted by the EFT-POS/SMART PINPAD device and AES keys (K_{ENC} and K_{HMAC}) during import from EFT-POS/SMART PINPAD device
	FTP_ITC.1/EFT-POS/SMART PINPAD	Provides protection of data (confidentiality + integrity) during communication with EFT-POS/SMART PINPAD by the help of secure channel
	FTP_ITC.1/TSM	Provides protection of sales data and event data (confidentiality + integrity) during communication with PRA-IS by the help of secure channel

6.3.2. Rationale for Security Functional Requirements dependencies

Selected security functional requirements include related dependencies. **Hata! Başvuru kaynağı bulunamadı.** below provides a summary of the security functional requirements dependency analysis.

Table 6 Security Functional Requirements dependencies

	Dependencies:	**Included / not included**
FAU_GEN.1	FPT_STM.1	included
FAU_SAR.1	FAU_GEN.1	included
FAU_STG.1	FAU_GEN.1	included
FAU_STG.4	FAU_STG.1	included
FCO_NRO.2	FIA_UID.1	Non-repudiation of the origin satisfied for the event and sales data send from FCR not on behalf of each user but FCR itself. Requirement satisfied but the dependency is not fulfilled because of the operational requirement.
FCS_CKM.1/TRMK	FCS_CKM.2 or FCS_COP.1; FCS_CKM.4	FCS_CKM.2; FCS_COP.1 TRMK-DEC; FCS_CKM.4 included
FCS_CKM.2	[FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1]; FCS_CKM.4	FCS_CKM.1/TRMK; FCS_CKM.4
FCS_CKM.1/TLS_AES	FCS_CKM.2 or FCS_COP.1; FCS_CKM.4	FCS_COP.1/ENC-DEC and FCS_CKM.4 included
FCS_CKM.1/TLS_HMAC	FCS_CKM.2 or FCS_COP.1; FCS_CKM.4	FCS_COP.1/INT-AUTH and FCS_CKM.4 included
FCS_CKM.1/ EXT-DEV K_{ENC}	FCS_CKM.2 or FCS_COP.1; FCS_CKM.4	FCS_COP.1/EXT-DEV K_{ENC} and FCS_CKM.4 included .
FCS_CKM.1/ EXT-DEV K_{HMAC}	FCS_CKM.2 or FCS_COP.1; FCS_CKM.4	FCS_COP.1/ EXT-DEV K_{HMAC} and FCS_CKM.4 included
FCS_CKM.4	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1	FCS_CKM.1(FCS_CKM.1/ EXT-DEV K_{ENC}, FCS_CKM.1/ EXT-

		DEV K$_{HMAC}$, FCS_CKM.1/TLS_HMAC, FCS_CKM.1/TLS_AES, FCS_CKM.1/TRMK, FCS_CKM.1/DHE-KEY) included
FCS_COP.1/TRAK	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	FDP_ITC.2/TSM and FCS_CKM.4 included
FCS_COP.1/TREK	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	FDP_ITC.2/TSM and FCS_CKM.4 included
FCS_COP.1/TRMK-DEC	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	FCS_CKM.1/TRMK; FCS_CKM.4
FCS_COP.1/PUB-ENC	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	According to PRA messaging protocol, there is no need to import key for this SFR. Key is imported during initialization. According to PRA messaging protocol, P$_{PRA}$ and P$_{TSM}$ public key should not be deleted. Tamper system of the TOE protects keys from misuse, disclosure or modification.
FCS_COP.1/SIGN-VER	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	According to PRA messaging protocol, there is no need to import key for this SFR. Key is imported during initialization. According to PRA messaging protocol, P$_{PRA-SIGN}$ public key should not be deleted. Tamper system of the TOE protects keys from misuse, disclosure or modification.
FCS_COP.1/ENC-DEC	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ; FCS_CKM.4	FCS_CKM.1/TLS_AES and FCS_CKM.4 included
FCS_COP.1/INT-AUTH	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	FCS_CKM.1/TLS_HMAC and FCS_CKM.4 included

FCS_COP.1/HASHING	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	No need to include any dependencies because there is no need to use any key for HASHING
FCS_CKM.1/ DHE-KEY	FCS_CKM.2 or FCS_COP.1; FCS_CKM.4	FCS_COP.1/ EXT-DEV KEYEXCHANGE and FCS_CKM.4
FCS_COP.1/EXT-DEV K_{ENC}	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	FCS_CKM.1/ EXT-DEV K_{ENC} ; FCS_CKM.4 included
FCS_COP.1/ EXT-DEV K_{HMAC}	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	FCS_CKM.1/ EXT-DEV K_{HMAC}; FCS_CKM.4 included
FCS_COP.1/ EXT-DEV KEYEXCHANGE	FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.1 ;FCS_CKM.4	FCS_CKM.1/ DHE-KEY and FCS_CKM.4 included
FDP_ACC.1	FDP_ACF.1	included
FDP_ACF.1	FDP_ACC.1; FMT_MSA.3	FDP_ACC.1; FMT_MSA.3/USERS and SYSTEMS included
FDP_ETC.2/TSM	FDP_ACC.1 or FDP_IFC.1	FDP_ACC.1; FDP_IFC.1/TSMCOMMUNICATION included
FDP_ETC.2 /EFT-POS/SMART PINPAD	FDP_ACC.1 or FDP_IFC.1	FDP_ACC.1; FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION included
FDP_IFC.1/TSMCOMMUNICATION	FDP_IFF.1	FDP_IFF.1/TSMCOMMUNICATION included
FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION	FDP_IFF.1	FDP_IFF.1/EFT-POS/SMART PINPADCOMMUNICATION included
FDP_IFF.1/TSMCOMMUNICATION	FDP_IFC.1; FMT_MSA.3	FDP_IFC.1/TSMCOMMUNICATION; FMT_MSA.3/USERS and SYSTEMS included
FDP_IFF.1/EFT-POS/SMART PINPADCOMMUNICATION	FDP_IFC.1; FMT_MSA.3	FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION; FMT_MSA.3/EFT-POS/SMART PINPAD included

FDP_ITC.2/TSM	FDP_ACC.1 or FDP_IFC.1 ; FTP_ITC.1 or FTP_TRP.1 ; FPT_TDC.1	FDP_IFC.1/TSMCOMMUNICATION; FTP_ITC.1/TSM; FPT_TDC.1/TSM included
FDP_ITC.2/EFT-POS/SMART PINPAD	FDP_ACC.1 or FDP_IFC.1 ; FTP_ITC.1 or FTP_TRP.1 ; FPT_TDC.1	FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION; FTP_ITC.1/EFT-POS/SMART PINPAD; FPT_TDC.1/EFT-POS/SMART PINPAD included
FDP_SDI.2/MEMORY	No dependencies.	-
FDP_SDI.2/DAILY and PRMTR	No dependencies.	-
FIA_AFL.1/MANUFACTURER	FIA_UAU.1	included
FIA_AFL.1/AUTHORISED	FIA_UAU.1	included
FIA_UAU.1	FIA_UID.1	included
FIA_UAU.4	No dependencies	-
FIA_UID.1	No dependencies	-
FMT_MOF.1	FMT_SMR.1; FMT_SMF.1	FMT_SMR.2 is hierarchical to FMT_SMR.1; FMT_SMF.1
FMT_MSA.1/PRIVILEGES	FDP_ACC.1 or FDP_IFC.1; FMT_SMR.1; FMT_SMF.1;	FDP_ACC.1 included FMT_SMR.2 is hierarchical to FMT_SMR.1 FMT_SMF.1 included
FMT_MSA.1/ IP:PORT INFO	FDP_ACC.1 or FDP_IFC.1; FMT_SMR.1; FMT_SMF.1;	FDP_IFC.1/TSMCOMMUNICATION included FMT_SMR.2 is hierarchical to FMT_SMR.1 FMT_SMF.1 included
FMT_MSA.1/FILE NAME and INFO-LABEL	FDP_ACC.1 or FDP_IFC.1; FMT_SMR.1; FMT_SMF.1;	FDP_IFC.1/TSMCOMMUNICATION included FMT_SMR.2 is hierarchical to FMT_SMR.1 FMT_SMF.1 included
FMT_MSA.1/EFT-POS/SMART PINPAD SOURCE PORT INFO	FDP_ACC.1 or FDP_IFC.1; FMT_SMR.1; FMT_SMF.1;	FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION included FMT_SMR.2 is hierarchical to FMT_SMR.1 FMT_SMF.1 included

FMT_MSA.1/ EFT-POS/SMART PINPAD LABEL INFO	FDP_ACC.1 or FDP_IFC.1; FMT_SMR.1; FMT_SMF.1;	FDP_IFC.1/EFT-POS/SMART PINPADCOMMUNICATION included FMT_SMR.2 is hierarchical to FMT_SMR.1 FMT_SMF.1 included
FMT_MSA.3/USERS and SYSTEMS	FMT_MSA.1 ; FMT_SMR.1	FMT_MSA.1 (FMT_MSA.1/PRIVILEGES, FMT_MSA.1/IP:PORT_INFO and FMT_MSA.1/FILE NAME and INFO-LABEL) ; FMT_SMR.2 is hierarchical to FMT_SMR.1 included
FMT_MSA.3/EFT-POS/SMART PINPAD	FMT_MSA.1 ; FMT_SMR.1	FMT_MSA.1/ EFT-POS/SMART PINPAD LABEL INFO) ; FMT_SMR.2 is hierarchical to FMT_SMR.1 included
FMT_MTD.1/ FCR AUTHORIZED USER	FMT_SMR.1 ; FMT_SMF.1	FMT_SMR.2 is hierarchical to FMT_SMR.1 ; FMT_SMF.1 included
FMT_MTD.1/ AUTHORIZED MANUFACTURER USER	FMT_SMR.1 ; FMT_SMF.1	FMT_SMR.2 is hierarchical to FMT_SMR.1 ; FMT_SMF.1 included
FMT_SMF.1	No dependencies.	-
FMT_SMR.2	FIA_UID.1	included
FPT_FLS.1	No dependencies	-
FPT_PHP.2	FMT_MOF.1	included
FPT_RCV.1	AGD_OPE.1	included (assurance component)
FPT_RCV.4	No dependencies	-
FPT_STM.1	No dependencies	-
FPT_TDC.1/TSM	No dependencies	-
FPT_TDC.1/EFT-POS/SMARTPINPAD	No dependencies	-
FPT_TEE.1/EXT	No dependencies	-
FPT_TEE.1/TIME	No dependencies	-
FTP_ITC.1/TSM	No dependencies	-

| FTP_ITC.1/EFT-POS/SMARTPINPAD | No dependencies | - |

6.3.3. Security Assurance Requirements Rationale

The current assurance package was chosen based on the pre-defined assurance packet EAL2. EAL2 is chosen because the threats that were chosen are consistent with an attacker of basic attack potential.

6.3.4. Security Requirements - Internal Consistency

The following part of the security requirements rationale shows that the set of security requirements for the TOE consisting of the security functional requirements (SFRs) and the security assurance requirements (SARs) together forms an internally consistent whole.

The dependency analysis in Table 6 shows that the basis for internal consistency between all defined functional requirements is satisfied.

The assurance package EAL2 is a pre-defined set of internally consistent assurance requirements. The assurance requirements are internally consistent as all (additional) dependencies are satisfied and no inconsistency appears.

Inconsistency between functional and assurance requirements could only arise, if there are functional-assurance dependencies being not met. So, there are no inconsistencies between the goals of these two groups of security requirements.

7. TOE SUMMARY SPECIFICATIONS

The following security functions are implemented in order to satisfy the Security Functional Requirements in Section 6.1 of this Security Target.

7.1. Event Log Function

Audit/Event Function is going to generate the logs for the following events listed in the auditable event list table which is containing the events specified in PRA Messaging Protocol Document [6].

For each auditable events in the list TSF will add Date and Time of the event and identity of the subject to the stored event. However since the list of events defined in the Messaging Protocol contain if the event is successful or unsuccessful by definition, TOE do not explicitly label the outcome of the event.

The events will be exported to PRA-IS according to the requirements of the messaging protocol and they can only be reviewed by authorized manufacturer user.

TOE provides the confidentiality and integrity of the event logs and store them at least 90 days. When the audit trail is full the TOE start to overwrite the oldest log in order to continue its function.

This Security Function is satisfying the following SFRs;

FAU_GEN.1, FAU_SAR.1, FAU_STG.1, FAU_STG.4, FDP_SDI.2/MEMORY, FDP_SDI.2/DAILY and PRMTR, FPT_STM.1,

7.2. Cryptographic Operation

TOE will sent the data to Smart Card/eToken. Smart Card/eToken realize the cryptographic operations to generate the keys (TRMK Key) in accordance with AES with the key length of 256 bit in order to encrypt and decrypt (TREK and TRAK Keys) the user data such as Event Data, Sales Data (Receipt Data), Z Report, Istatistics and External Connection Datas.

Cryptography function is also responsible for successful SSL Authentication with TSM and exported files will be signed with a private key in smart card/eToken of FCR.

The SSL session keys are destructed securely upon the completion of the SSL communication with TSM and during the communication the TOE use Hashing. TREK TRAK Keys are destructed securely when the total use, specified by GIB, is exceeded or during Maintenance Mode. TRMK is destructed upon achieving TREK – TRAK Keys. TOE also establish a secure communication with third party devices like EFT-POS/SMART PINPAD. In order to install a

device to TOE, first an AES key generated by the device should be send securely to TOE by using public key of the TOE.

TOE also provide a proof of origin for the event and sales data sent to TSM.

This Security Function is satisfying the following SFRs;

FCS_CKM.1/TRMK, FCS_CKM.2, FCS_CKM.1/TLS_AES, FCS_CKM.1/TLS_HMAC, FCS_CKM.1/EXT-DEV K_{ENC}, FCS_CKM.1/EXT-DEV K_{HMAC}, FCS_CKM.1/DHE-KEY, FCS_CKM.4, FCS_COP.1/TREK, FCS_COP.1/TRAK, FCS_COP.1/TRMK-DEC, FCS_COP.1/PUB_ENC, FCS_COP.1/SIGN_VER, FCS_COP.1/ENC-DEC, FCS_COP.1/INT_AUTH, FCS_COP.1/EXT-DEV K_{ENC}, FCS_COP.1/EXT-DEV K_{HMAC}, FCS_COP.1/HASHING, FCS_COP.1/EXT-DEV KEYEXCHANGE, FDP_ITC.2/EFT-POS/SMART PINPAD, FDP_ITC.2/TSM, FTP_ITC.1/TSM, FTP_ITC.1/EFT-POS/SMART PINPAD, FCO_NRO.2, FDP_ETC.2/TSM, FDP_ETC.2 /EFT-POS/SMART PINPAD, FPT_TDC.1/TSM, FPT_TDC.1/EFT-POS/SMARTPINPAD

7.3. Identification and Authentication Function

Identification and Authentication Function will support the following features;

- Authentication failure handling for 3 consecutive unsuccessful authentication attempts
- Enforce and identification and authentication mechanism for the following users;
    - FCR Authorized User – Manager
    - Authorized Manufacturer User

Enforce identification and authentication mechanism for the following systems;

- PRA-IS
- TSM

This Security Function is satisfying the following SFRs;

FIA_AFL.1/MANUFACTURER, FIA_AFL.1/AUTHORISED, FIA_UAU.1, FIA_UAU.4, FIA_UID.1, FIA_UID.1, FDP_ITC.2/TSM, FDP_ETC.2/TSM

7.4. Access Control Function

TOE enforces an access control policy for FCR Authorized Users and Authorized Manufacturer Users. The TOE will only be accessible to Manufacturer users in maintenance mode and FCR Authorized Users can use the system only in secure state mode.

This Security Function is satisfying the following SFRs;

FDP_ACC.1, FDP_ACF.1, FDP_IFF.1/TSMCOMMUNICATION, FDP_IFC.1/TSMCOMMUNICATION, FDP_IFF.1/EFT-POS/SMARTPINPADCOMMUNICATION, FDP_IFC.1/EFT-POS/SMARTPINPADCOMMUNICATION,

7.5. Data Integrity Function

The memory space for Sales Data, Event Data, Parameters, Authentication Data and Characterization Data will be subject to an integrity check in order to provide the integrity of the data. The hash number will be checked after/before writing a new field to the designated memory space and initialization. An audit event will be generated in case of integrity corruption and an event to PRA-IS will be transmitted if the type of the event is "urgent."

This Security Function is satisfying the following SFRs;

FDP_SDI.2, FDP_SDI.2/DAILY and PRMTR

7.6. Import/Export Function

The TOE will import and export files from/to trusted third parties via a Parameter Block and Communication Tables imported from TSM.

Import Function will control the import of following files;

- Parameter File

- Communication Tables

- Change Rates

The imported files will be updated to the related memory block and according to the parameter file and communication tables, TOE export the event and sales data to PRA-IS securely.

The following messages are exported to the PRA-IS;

- Receipt Message
- Receipt Void Message
- Z Report Message

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- Event Record Message
- Statistic Message

This Security Function is satisfying the following SFRs;

FDP_ETC.2/TSM, FDP_ETC.2/EFT-POS/SMARTPINPAD, FDP_ITC.2/TSM, FDP_ITC.2/EFT-POS/SMARTPINPAD,

7.7. TSF Protection

TSF will protect the secure operation of the TOE by conduction the following functionality;

- Support the recovery and secure operation of whole functions in case of generation of events with the event type "Warning and Information"
- In case of generation of an event with the event type "Urgent", the function or module make the TOE switch to "Maintenance Mode".
- FCR Authorized users cannot access to the System in "Maintenance Mode".
- Only Authorized Manufacturer User can access to the Device in "Maintenance Mode"
- The device should automatically restarted upon switching from Maintenance Mode to Secure Mode.
- With the support of Electronic Seal, TOE Security Functions will check the external switches frequently for a possible tampering.
- In case of an internal tampering TOE switches to Maintenance Mode.

This Security Function is satisfying the following SFRs;

FPT_FLS.1, FPT_PHP.2, FPT_RCV.1, FPT_RCV.4

7.8. TOE Self-Testing Function

TOE will conduct self-testing during initial startup and conduct the following tests;

- Testing external entities and components during start-up.
- Testing TOE Functions during each start-up.
- Updating time information at each startup and every time before getting a Z Report.

Upon unsuccessful test results, TSF will generate an event log and take the necessary actions.

This Security Function is satisfying the following SFRs;

FPT_TEE.1/EXT, FPT_TEE.1/TIME

7.9. TSF Management Function

TOE provides the following management functions to the FCR Authorized User-Manager;

- User Management
- Configuration of the FCR
- Reporting

TOE provides the following management functions to the Authorized Manufacturer User;

- Maintenance Mode Operations

TOE provides modification the following security attributes to nobody;

- Privileges
- Access Control List
- Sales Data File Name and Event Data File Name(Objects which are sent to the PRA-IS according to the Information Flow Control Policy),
- Information Label
- Source Port
  EFT-POS/SMARTPINPAD Label.

TOE provides modification the following security attributes to Authorized Manufacturer User;

- IP-Port Information for communication with TSM

This Security Function is satisfying the following SFRs;

FMT_MOF.1, FMT_MSA.1/PRIVILEGES, FMT_MSA.1/IP: PORT INFO, FMT_MSA.1/FILE NAME and INFO-LABEL, FMT_MSA.1/EFT-POS/SMARTPINPAD SOURCE PORT INFO, FMT_MSA.1/EFT-POS/SMARTPINPAD LABEL INFO, FMT_MSA.3/USERS and SYSTEMS, FMT_MSA.3/EFT-POS/SMARTPINPAD, FMT_MTD.1/FCR AUTHORIZED USER, FMT_MTD.1/ AUTHORIZED MANUFACTURER USER, FMT_SMF.1, FMT_SMR.2